

O: PMD -
CC: RF

Minnesota Pollution Control Agency

January 31, 1989

Mr. Valdas V. Adamkus
Regional Administrator
U.S. Environmental Protection Agency
230 South Dearborn Street
Chicago, Illinois 60604

Attention: Grants and Financial Management Branch

Dear Mr. Adamkus:

RE: Multi Site Cooperative Agreement Amendment #23, #V005794-23

I am pleased to accept the above-referenced amendment. The award of an additional \$1,298,534 will enhance the Superfund program in Minnesota. We appreciate your staff's efforts in securing these additional funds.

We also accept the special conditions included in this amendment. Enclosed are an original and two copies of the executed document. I look forward to continued growth of our Multi Site Cooperative Agreement.

Sincerely,

Michael Robert Willet
Gerald L. Willet
Commissioner
Agent

GW/ds

cc: Cindy Wakat, U.S. Environmental Protection Agency Project Officer

RECEIVED

FEB 06 1989

U. S. EPA REGION 5
OFFICE OF REGIONAL ADMINISTRATOR

Phone: _____

520 Lafayette Road, St. Paul, Minnesota 55155
Regional Offices • Duluth/Brainerd/Detroit Lakes/Marshall/Rochester
Equal Opportunity Employer

*Copy furnished to
RPM and OPA 3-14-89*

| | | | | | | | | | | | | | | | | | | | | | |
|---|-----------------|--------------------|--|--|----------------|---|----------------------------|---------------------------------------|--|--------------------------------|--------|--------------------|--|---|--|----------------------|--|---|------------------|--|--|
| U.S. ENVIRONMENTAL PROTECTION AGENCY EPA ASSISTANCE AGREEMENT/AMENDMENT PART I - ASSISTANCE NOTIFICATION INFORMATION | | | | | | 1. DISTRICT NO. V005794-01-23 | | 2. LOG NUMBER | | | | | | | | | | | | | |
| | | | | | | 3. DATE OF AWARD DEC 30 1988 | | 4. MAILING DATE JAN 09 1989 | | | | | | | | | | | | | |
| 5. AGREEMENT TYPE <input type="checkbox"/> Cooperative Agreement <input type="checkbox"/> Grant Agreement <input checked="" type="checkbox"/> Assistance Amendment | | | | 6. PAYMENT METHOD <input type="checkbox"/> Advance <input type="checkbox"/> Reimbursement <input checked="" type="checkbox"/> Letter of Credit 68-13-0502 Send Payment Request To: | | | | | | | | | | | | | | | | | |
| 8. RECIPIENT Minnesota Pollution Control Agency 520 Lafayette Road St. Paul, Minnesota 55155 | | | | 9. PAYEE Same as Block 8 | | | | | | | | | | | | | | | | | |
| EIN NO. 41-6007162 CONGRESSIONAL DISTRICT Statewide | | | | 10. RECIPIENT TYPE State | | | | | | | | | | | | | | | | | |
| 11. PROJECT MANAGER AND TELEPHONE NO. Gordon Meyer (612) 296-7333 (Same address as Block 8) | | | | 12. CONSULTANT (WWT Construction Grants Only) N/A | | | | | | | | | | | | | | | | | |
| 13. ISSUING OFFICE (City/State) Chicago, Illinois | | | | 14. EPA PROJECT/STATE OFFICER AND TELEPHONE NO. Cindy Wakat (5HSM) (312) 886-0394 State and IAG Relations Unit, SPMB U.S. Environmental Protection Agency 230 South Dearborn Street Chicago, Illinois 60604 | | | | | | | | | | | | | | | | | |
| 15. EPA CONGRESSIONAL LIAISON & TEL. NO. Pat Gaskins (202) 382-5184 | | | | 16. STATE APPL ID (Clearinghouse) | | 17. FIELD OF SCIENCE | | 18. PROJECT STEP (WWT CG Only) N/A | | | | | | | | | | | | | |
| 19. STATUTORY AUTHORITY P.L. 96-510 | | | | 20. REGULATORY AUTHORITY 40 CFR Parts 29, 30, 32, 33 and 35 | | 21. STEP 2 + 3 & STEP 3 (WWT Construction Only) <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td>a. Treatment Level</td> <td></td> <td></td> </tr> <tr> <td>b. Project Type</td> <td>N</td> <td></td> </tr> <tr> <td>c. Treatment Process</td> <td></td> <td>A</td> </tr> <tr> <td>d. Sludge Design</td> <td></td> <td></td> </tr> </table> | | | | a. Treatment Level | | | b. Project Type | N | | c. Treatment Process | | A | d. Sludge Design | | |
| a. Treatment Level | | | | | | | | | | | | | | | | | | | | | |
| b. Project Type | N | | | | | | | | | | | | | | | | | | | | |
| c. Treatment Process | | A | | | | | | | | | | | | | | | | | | | |
| d. Sludge Design | | | | | | | | | | | | | | | | | | | | | |
| 22. PROJECT TITLE AND DESCRIPTION Amendment to Multi-Site Cooperative Agreement for CERCLA-Funded Activities | | | | | | | | | | | | | | | | | | | | | |
| 23. PROJECT LOCATION (Areas Impacted by Project) <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td>City/Place Statewide</td> <td>County</td> <td>State MN</td> <td>Congressional District Statewide</td> </tr> </table> | | | | | | | | | | City/Place Statewide | County | State MN | Congressional District Statewide | | | | | | | | |
| City/Place Statewide | County | State MN | Congressional District Statewide | | | | | | | | | | | | | | | | | | |
| 24. ASSISTANCE PROGRAM (CFDA Program No. & Title) 66.802 Superfund | | | | 25. PROJECT PERIOD 10/01/84 - 07/31/90 | | 26. BUDGET PERIOD 10/01/84 - 07/31/90 | | | | | | | | | | | | | | | |
| 27. COMMUNITY POPULATION (WWT CG Only) N/A | | | | 28. TOTAL BUDGET PERIOD COST \$12,140,131 | | 29. TOTAL PROJECT PERIOD COST \$12,140,131 | | | | | | | | | | | | | | | |
| FUNDS | | FORMER AWARD | | THIS ACTION | | AMENDED TOTAL | | | | | | | | | | | | | | | |
| 30. EPA Amount This Action | | \$10,362,422 | | \$1,298,534 | | \$11,660,956 | | | | | | | | | | | | | | | |
| 31. EPA In-Kind Amount | | | | | | | | | | | | | | | | | | | | | |
| 32. Unexpended Prior Year Balance | | | | | | | | | | | | | | | | | | | | | |
| 33. Other Federal Funds | | | | | | | | | | | | | | | | | | | | | |
| 34. Recipient Contribution | | | | | | | | | | | | | | | | | | | | | |
| 35. State Contribution | | 370,574 | | 108,601 | | 479,175 | | | | | | | | | | | | | | | |
| 36. Local Contribution | | | | | | | | | | | | | | | | | | | | | |
| 37. Other Contribution | | | | | | | | | | | | | | | | | | | | | |
| 38. Allowable Project Cost | | \$10,732,996 | | \$1,407,135 | | \$12,140,131 | | | | | | | | | | | | | | | |
| 39. FISCAL | Program Element | FY | Appropriation | Doc. Control No. | Account Number | Object Class | Obligation/Deoblig. Amount | | | | | | | | | | | | | | |
| | TFAY92 | 89 | 6-2000-45 | 340001 | 9TFAY92FRRB | 11.65 | 379,632 | | | | | | | | | | | | | | |
| | / | / | / | 340003 | 9TFAY92FNRR | ↓ | 305,640 | | | | | | | | | | | | | | |
| | / | / | / | 870019 | 9TFAY92FLRR | ↓ | 233,168 | | | | | | | | | | | | | | |
| | / | / | / | 5130004 | 9TFAY92FNX8 | ↓ | 252,690 | | | | | | | | | | | | | | |

PART II-APPROVED BU T

ASSISTANCE II

TIFIC

IN NO. L

| TABLE A - OBJECT CLASS CATEGORY (Non-construction) | | TOTAL APPROVED ALLOWABLE BUDGET PERIOD COST |
|--|--|--|
| 1. PERSONNEL | | \$ 776,096 |
| 2. FRINGE BENEFITS | | 139,332 |
| 3. TRAVEL | | 70,644 |
| 4. EQUIPMENT | | 5,170 |
| 5. SUPPLIES | | 6,844 |
| 6. CONTRACTUAL | | 7,489,503 |
| 7. CONSTRUCTION | | 3,290,057 |
| 8. OTHER | | 33,007 |
| 9. TOTAL DIRECT CHARGES | | 11,810,653 |
| 10. INDIRECT COSTS: RATE 36.3 % BASE | | 329,478 |
| 11. TOTAL (Share: Recipient _____ % Federal _____ %) | | \$12,140,131 |
| 12. TOTAL APPROVED ASSISTANCE AMOUNT | | \$11,660,956 |
| TABLE B - PROGRAM ELEMENT CLASSIFICATION (Non-construction) | | |
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |
| 6. | | |
| 7. | | |
| 8. | | |
| 9. | | |
| 10. | | |
| 11. | | |
| 12. TOTAL (Share: Recipient _____ % Federal _____ %) | | |
| 13. TOTAL APPROVED ASSISTANCE AMOUNT | | \$ |
| TABLE C - PROGRAM ELEMENT CLASSIFICATION (Construction) | | |
| 1. ADMINISTRATION EXPENSE | | |
| 2. PRELIMINARY EXPENSE | | |
| 3. LAND STRUCTURES, RIGHT-OF-WAY | | |
| 4. ARCHITECTURAL ENGINEERING BASIC FEES | | |
| 5. OTHER ARCHITECTURAL ENGINEERING FEES | | |
| 6. PROJECT INSPECTION FEES | | |
| 7. LAND DEVELOPMENT | | |
| 8. RELOCATION EXPENSES | | |
| 9. RELOCATION PAYMENTS TO INDIVIDUALS AND BUSINESSES | | |
| 10. DEMOLITION AND REMOVAL | | |
| 11. CONSTRUCTION AND PROJECT IMPROVEMENT | | |
| 12. EQUIPMENT | | |
| 13. MISCELLANEOUS | | |
| 14. TOTAL (Lines 1 thru 13) | | |
| 15. ESTIMATED INCOME (If applicable) | | |
| 16. NET PROJECT AMOUNT (Line 14 minus 15) | | |
| 17. LESS: INELIGIBLE EXCLUSIONS | | |
| 18. ADD: CONTINGENCIES | | |
| 19. TOTAL (Share: Recipient _____ % Federal _____ %) | | |
| 20. TOTAL APPROVED ASSISTANCE AMOUNT | | \$ |

PART III-AWARD CONDITIONS

a. GENERAL CONDITIONS

The recipient covenants and agrees that it will expeditiously initiate and timely complete the project work for which assistance has been awarded under this agreement, in accordance with all applicable provisions of 40 CFR Chapter I, Subpart B. The recipient warrants, represents, and agrees that it, and its contractors, subcontractors, employees and representatives, will comply with: (1) all applicable provisions of 40 CFR Chapter I, Subchapter B, INCLUDING BUT NOT LIMITED TO the provisions of Appendix A to 40 CFP Part 30, and (2) any special conditions set forth in this assistance agreement or any assistance amendment pursuant to 40 CFR 30.425.

b. SPECIAL CONDITIONS:

(For cooperative agreements include identification or summarization of EPA responsibilities that reflect or contribute to substantial involvement.)

73. This amendment is based on the State's application of December 13, 1988. This amendment awards the funding and budget and project periods for the Oak Grove and Kummer sites as requested in the December 13, 1988, application. The budget period and project period extensions for the LaGrande site are also approved. In addition, this amendment approves the use of \$66,420 in excess reimbursement for RI/FS advance match for the Kummer site for completion of additional RI/FS activities. The \$66,420 of expended State advance match for the RI/FS will be used as a credit toward the State's ultimate share of the cost of the source control and migration management remedial actions.

73a. Budget and Project Periods Approved in this AmendmentLaGrande RI/FS

| | |
|----------------|---------------------------|
| Budget Period | July 1987 - June 30, 1990 |
| Project Period | July 1987 - June 30, 1990 |

Kummer Site (RI/FS, RD, RA)

| | |
|----------------|------------------------------|
| Budget Period | October 1984 - June 30, 1990 |
| Project Period | October 1984 - June 30, 1990 |

Oak Grove Site (RD)

| | |
|----------------|--------------------------------------|
| Budget Period | January 1, 1989 - September 30, 1989 |
| Project Period | January 1, 1989 - September 30, 1989 |

b. SPECIAL CONDITIONS (Continued)

Continue on Next Page

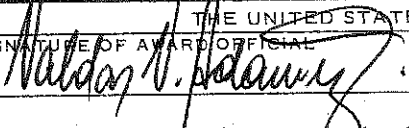

PART IV

NOTE: The Agreement must be completed in duplicate and the Original returned to the Grants Administration Division for Headquarters awards and to the appropriate Grants Administrations Office for State and local awards within 3 calendar weeks after receipt or within any extension of time as may be granted by EPA.

Receipt of a written refusal or failure to return the properly executed document within the prescribed time, may result in the withdrawal of the offer by the Agency. Any change to the Agreement by the recipient subsequent to the document being signed by the EPA Award Official which the Award Official determines to materially alter the Agreement shall void the Agreement.

OFFER AND ACCEPTANCE

The United States of America, acting by and through the U.S. Environmental Protection Agency (EPA), hereby offers assistance/amendment to the Minnesota Pollution Control Agency
RECIPIENT ORGANIZATION
 for 100 % of all approved costs incurred up to and not exceeding \$11,660,956
ASSISTANCE AMOUNT
 for the support of approved budget period effort described in application (including all application modifications) Amendment application December 13, 1988 included herein by reference.

| | | | |
|--|---|--|----------------------------|
| ISSUING OFFICE (Grants Administration Office) | | AWARD APPROVAL OFFICE | |
| ORGANIZATION/ADDRESS Grants and Financial Management Branch (5MFG) U.S. Environmental Protection Agency 230 South Dearborn Street Chicago, Illinois 60604 | | ORGANIZATION/ADDRESS Waste Management Division (5HSM) U.S. Environmental Protection Agency 230 South Dearborn Street Chicago, Illinois 60604 | |
| THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY | | | |
| SIGNATURE OF AWARD OFFICIAL  | TYPED NAME AND TITLE Valdas V. Adamkus, Regional Administrator | | DATE DEC 30 1988 |
| This Agreement is subject to applicable U.S. Environmental Protection Agency statutory provisions and assistance regulations. In accepting this award or amendment and any payments made pursuant thereto, (1) the undersigned represents that he is duly authorized to act on behalf of the recipient organization; and (2) the recipient agrees (a) that the award is subject to the applicable provisions of 40 CFR Chapter I, Subchapter B and of the provisions of this agreement (Parts I thru IV), and (b) that acceptance of any payments constitutes an agreement by the payee that the amounts, if any found by EPA to have been overpaid will be refunded or credited in full to EPA. | | | |
| BY AND ON BEHALF OF THE DESIGNATED RECIPIENT ORGANIZATION | | | |
| SIGNATURE  | TYPED NAME AND TITLE Michael Robertson, Dep. Commissioner | | DATE 1-31-89 |

Special Conditions Cont.

No. V005794-01-23

Page 4a of 473b. Budgets Approved in this AmendmentKummer RI/FS

| <u>Object Class</u> | <u>Current Approved Budget</u> | <u>Change Requested</u> | <u>Approved Revised Budget</u> |
|-------------------------|--|-----------------------------|--|
| Personnel | \$ 43,778 | \$ 26,150 | \$ 69,928 |
| Fringe Benefits | 7,876 | 4,707 | 12,583 |
| Travel | 3,642 | 4,660 | 8,302 |
| Equipment | 4,500 | -0- | 4,500 |
| Supplies | 240 | -0- | 240 |
| Contractual | 717,914 | 256,420 | 974,334 |
| Construction | -0- | -0- | -0- |
| Other | 315 | 450 | 765 |
| Total Direct Charges | \$778,265 | \$292,387 | \$1,070,652 |
| Indirect Costs | 18,580 | 11,201 | 29,781 |
| Total Project Cost | \$796,845 | \$303,588 | \$1,100,433 |
| Total Assistance Amount | \$751,939 | \$237,168 | \$ 989,107 |
| Total Non-Federal Share | \$ 44,906 | *\$ 66,420 | \$ 111,326 |

*The State advance match shown in the change requested column is the amount of State advance match expended after the reauthorization of CERCLA which could not be reimbursed. The State will apply this advance match to future remedial action phases of the project.

Kummer RD

| <u>Object Class</u> | <u>Current Approved Budget</u> | <u>Change Requested</u> | <u>Approved Revised Budget</u> |
|-------------------------|--|-----------------------------|--|
| Personnel | \$ 10,960 | \$ 22,380 | \$ 33,340 |
| Fringe Benefits | 1,970 | 4,030 | 6,000 |
| Travel | 1,680 | 5,280 | 6,960 |
| Equipment | -0- | 120 | 120 |
| Supplies | -0- | -0- | -0- |
| Contractual | 353,949 | 264,240 | 618,189 |
| Construction | -0- | -0- | -0- |
| Other | 140 | -0- | 140 |
| Total Direct Charges | \$368,699 | \$296,050 | \$664,749 |
| Indirect Costs | 4,280 | 9,590 | 13,870 |
| Total Project Cost | \$372,979 | \$305,640 | \$678,619 |
| Total Assistance Amount | \$372,979 | \$305,640 | \$678,619 |
| Total Non-Federal Share | \$ -0- | \$ -0- | \$ -0- |

Special Conditions Cont.

No. V005794-01-23

Page 4b of 4

Kummer RA (Northern Township Operable Unit)

| <u>Object Class</u> | <u>Current Approved Budget</u> | <u>Change Requested</u> | <u>Approved Revised Budget</u> |
|-------------------------|--|-----------------------------|--|
| Personnel | \$ 23,280 | \$ 2,966 | \$ 26,246 |
| Fringe Benefits | 4,190 | 534 | 4,724 |
| Travel | 5,470 | (3,500) | 1,970 |
| Equipment | -0- | -0- | -0- |
| Supplies | -0- | -0- | -0- |
| Contractual | -0- | -0- | -0- |
| Construction | 1,654,323 | 420,543 | 2,074,866 |
| Other | 290 | -0- | 290 |
| Total Direct Charges | \$1,687,553 | \$420,543 | \$2,108,096 |
| Indirect Costs | 9,090 | 1,270 | 10,360 |
| Total Project Cost | \$1,696,643 | \$421,813 | \$2,118,456 |
| Total Assistance Amount | \$1,571,613 | \$379,632 | \$1,951,245 |
| Total Non-Federal Share | \$ 125,030 | \$ 42,181 | \$ 167,211 |

Oak Grove RI/FS

| <u>Object Class</u> | <u>Current Approved Budget</u> | <u>Change Requested</u> | <u>Approved Revised Budget</u> |
|-------------------------|--|-----------------------------|--|
| Personnel | \$ 90,490 | \$ 10,393 | \$ 100,883 |
| Fringe Benefits | 16,288 | 1,871 | 18,159 |
| Travel | 1,398 | -0- | 1,398 |
| Equipment | -0- | -0- | -0- |
| Supplies | 3,750 | -0- | 3,750 |
| Contractual | 832,289 | 101,711 | 934,000 |
| Construction | -0- | -0- | -0- |
| Other | 2,700 | -0- | 2,700 |
| Total Direct Charges | \$946,915 | \$113,975 | \$1,060,890 |
| Indirect Costs | 39,508 | 4,479 | 43,987 |
| Total Project Cost | \$986,423 | \$118,454 | \$1,104,877 |
| Total Assistance Amount | \$986,423 | \$118,454 | \$1,104,877 |
| Total Non-Federal Share | \$ -0- | \$ -0- | \$ -0- |

Special Conditions Cont.

No. V005794-01-23

Page 4c of 4

Oak Grove RD (Source Control Operable Unit)

| <u>Object Class</u> | <u>Approved Budget</u> |
|-------------------------|----------------------------|
| Personnel | \$ 15,681 |
| Fringe Benefits | 2,823 |
| Travel | 2,420 |
| Equipment | -0- |
| Supplies | -0- |
| Contractual | 230,000 |
| Construction | -0- |
| Other | -0- |
| Total Direct Charges | \$250,924 |
| Indirect Costs | 6,716 |
| Total Project Cost | \$257,640 |
| Total Assistance Amount | \$257,640 |
| Total Non-Federal Share | \$ -0- |

74. To implement the cost recovery program under Section 107 of the Comprehensive Environmental Response, Compensation and Liability Act, as amended (42 U.S.C. §9607), this award is subject to the general administrative requirements in 40 CFR Part 30 (July 1, 1987 and the procurement requirements in 40 CFR Part 33 (July 1, 1987).

U.S. ENVIRONMENTAL PROTECTION AGENCY
EPA ASSISTANCE AGREEMENT/AMENDMENT
PART I - ASSISTANCE NOTIFICATION INFORMATION

1. ASSISTANCE ID NO.

V005, 54-01-24

2. LOG NUMBER

3. DATE OF AWARD

6/30

4. MAILING DATE

7/10

5. AGREEMENT TYPE

Cooperative Agreement

Grant Agreement

Assistance Amendment

6. PAYMENT METHOD

☐ Advance

☐ Reimbursement

☒ Letter of Credit 68-13-0502

Send Payment Request To:

7. TYPE OF ACTION

Augmentation/Increase

RECIPIENT ORGANIZATION

8. RECIPIENT

Minnesota Pollution Control Agency
520 Lafayette Road
St. Paul, Minnesota 55155

9. PAYEE

Time Extension

Same as Block 8

EIN NO.

41-6007162

CONGRESSIONAL DISTRICT

Statewide

10. RECIPIENT TYPE

State

11. PROJECT MANAGER AND TELEPHONE NO.

Gordon Meyer
(612) 296-7333
(Same address as Block 8)

12. CONSULTANT (WWT Construction Grants Only)

N/A

EPA CONTACT

13. ISSUING OFFICE (City/State)

Chicago, Illinois

14. EPA PROJECT/STATE OFFICER AND TELEPHONE NO.

Lois Betka (5HSM) (312) 886-6399
State and IAG Relations Unit, SPMB
U.S. Environmental Protection Agency
230 South Dearborn Street
Chicago, Illinois 60604

15. EPA CONGRESSIONAL LIAISON & TEL. NO.

Pat Gaskins (202) 382-5184

16. STATE APPL ID (Clearinghouse)

17. FIELD OF SCIENCE

N/A

18. PROJECT STEP (WWT CG Only)

N/A

19. STATUTORY AUTHORITY

P.L. 96-510

20. REGULATORY AUTHORITY

40 CFR Parts 29, 30,
32, 33 and 35

21. STEP 2 + 3 & STEP 3 (WWT Construction Only)

a. Treatment Level

b. Project Type

c. Treatment Process

d. Sludge Design

N

A

22. PROJECT TITLE AND DESCRIPTION

This Amendment extends the RI/FS project and budget periods for the St. Louis River, Union Scrap, and Adrian sites; extends the project and budget period for management assistance at Arrowhead; increases the Scope of Work and funding for the Kummer RI/FS & RA, and corrects the budget for the Kummer RD.

23. PROJECT LOCATION (Areas Impacted by Project)

City/Place

Statewide

County

N/A

State

MI

Congressional District

Statewide

24. ASSISTANCE PROGRAM (CFDA Program No. & Title)

66.802 Superfund

25. PROJECT PERIOD

10/01/84 - 09/30/90

26. BUDGET PERIOD

10/01/84 - 09/30/90

27. COMMUNITY POPULATION (WWT CG Only)

N/A

28. TOTAL BUDGET PERIOD COST

\$12,214,418

29. TOTAL PROJECT PERIOD COST

\$12,214,418

FUNDS

FORMER AWARD

THIS ACTION

AMENDED TOTAL

30. EPA Amount This Action

\$11,660,956

\$73,863

\$11,734,819

31. EPA In-Kind Amount

32. Unexpended Prior Year Balance

33. Other Federal Funds

34. Recipient Contribution

35. State Contribution

479,175

424

479,599

36. Local Contribution

37. Other Contribution

38. Allowable Project Cost

\$12,140,131

\$74,287

\$12,214,418

39. FISCAL

Program Element

FY

Appropriation

Doc. Control No.

Account Number

Object Class

Obligation/Deoblig. Amount

TPA Y9A

89

68-20X8145

\$C 0001

9 TFA5AFRR8

4185

3816

TPA Y9A

89

68-20X8145

\$F 0019

9 TFA5AFLLR8

4185

70047

| TABLE A - OBJECT CLASS CATEGORY (Non-construction) | | TOTAL APPROVED ALLOWABLE BUDGET PERIOD COST |
|--|--|--|
| 1. PERSONNEL | | \$ 782,111 |
| 2. FRINGE BENEFITS | | 140,414 |
| 3. TRAVEL | | 73,164 |
| 4. EQUIPMENT | | 5,170 |
| 5. SUPPLIES | | 6,844 |
| 6. CONTRACTUAL | | 7,551,148 |
| 7. CONSTRUCTION | | 3,290,057 |
| 8. OTHER | | 33,457 |
| 9. TOTAL DIRECT CHARGES | | 11,882,365 |
| 10. INDIRECT COSTS: RATE % BASE | | 332,053 |
| 11. TOTAL (Share: Recipient _____% Federal _____%) | | \$12,214,418 |
| 12. TOTAL APPROVED ASSISTANCE AMOUNT | | \$11,734,819 |
| TABLE B - PROGRAM ELEMENT CLASSIFICATION (Non-construction) | | |
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |
| 6. | | |
| 7. | | |
| 8. | | |
| 9. | | |
| 10. | | |
| 11. | | |
| 12. TOTAL (Share: Recipient _____% Federal _____%) | | |
| 13. TOTAL APPROVED ASSISTANCE AMOUNT | | \$ |
| TABLE C - PROGRAM ELEMENT CLASSIFICATION (Construction) | | |
| 1. ADMINISTRATION EXPENSE | | |
| 2. PRELIMINARY EXPENSE | | |
| 3. LAND STRUCTURES, RIGHT-OF-WAY | | |
| 4. ARCHITECTURAL ENGINEERING BASIC FEES | | |
| 5. OTHER ARCHITECTURAL ENGINEERING FEES | | |
| 6. PROJECT INSPECTION FEES | | |
| 7. LAND DEVELOPMENT | | |
| 8. RELOCATION EXPENSES | | |
| 9. RELOCATION PAYMENTS TO INDIVIDUALS AND BUSINESSES | | |
| 10. DEMOLITION AND REMOVAL | | |
| 11. CONSTRUCTION AND PROJECT IMPROVEMENT | | |
| 12. EQUIPMENT | | |
| 13. MISCELLANEOUS | | |
| 14. TOTAL (Lines 1 thru 13) | | |
| 15. ESTIMATED INCOME (If applicable) | | |
| 16. NET PROJECT AMOUNT (Line 14 minus 15) | | |
| 17. LESS: INELIGIBLE EXCLUSIONS | | |
| 18. ADD: CONTINGENCIES | | |
| 19. TOTAL (Share: Recipient _____% Federal _____%) | | |
| 20. TOTAL APPROVED ASSISTANCE AMOUNT | | \$ |

PART III-AWARD CONDITIONS

a. GENERAL CONDITIONS

The recipient covenants and agrees that it will expeditiously initiate and timely complete the project work for which assistance has been awarded under this agreement, in accordance with all applicable provisions of 40 CFR Chapter I, Subpart B. The recipient warrants, represents, and agrees that it, and its contractors, subcontractors, employees and representatives, will comply with: (1) all applicable provisions of 40 CFR Chapter I, Subchapter B, INCLUDING BUT NOT LIMITED TO the provisions of Appendix A to 40 CFP Part 30, and (2) any special conditions set forth in this assistance agreement or any assistance amendment pursuant to 40 CFR 30.425.

b. SPECIAL CONDITIONS

(For cooperative agreements include identification or summarization of EPA responsibilities that reflect or contribute to substantial involvement.)

74. This Amendment is based on the State's applications of February 16, 1989, April 13, 1989, and correspondence of March 13, 1989. The Amendment separates the Kummer Sanitary Landfill Migration Management FS into two operable units: renames the current migration management operable unit FS to "On-Site Ground Water Management Operable Unit (#3)" and establishes a new "Off-Site Ground Water Migration Management Operable Unit FS (#4)" with new funding in the amount of \$70,047. Also as requested in the February 16th, application, this Amendment increases the scope and funding for the Kummer Sanitary Landfill/Northern Township Water System Operable Unit RA, and extends the project and budget period for management assistance at the Arrowhead Refinery site.

As requested in the March 13, 1989 correspondence, this Amendment corrects the Kummer Sanitary Landfill/Northern Township Water System Operable Unit RD budget which was stated in error in Special Condition #73b of the December 30, 1988 Amendment.

As requested in the April 13, 1989, application, this Amendment extends the project and budget periods for the St. Louis River, Union Scrap and Adrian RI/FSs.

74a. Budget and Project Periods Approved in this AmendmentArrowhead Management Assistance

| | |
|----------------|-----------------------------------|
| Budget Period | September 1984 - January 31, 1990 |
| Project Period | September 1984 - January 31, 1990 |

St. Louis (RI/FS)

| | |
|----------------|-------------------------------------|
| Budget Period | September 1985 - September 30, 1990 |
| Project Period | September 1985 - September 30, 1990 |

Union Scrap RI/FS

| | |
|----------------|------------------------------------|
| Budget Period | March 15, 1987 - December 31, 1989 |
| Project Period | March 15, 1987 - December 31, 1989 |

Adrian RI/FS

| | |
|----------------|------------------------------------|
| Budget Period | September 1985 - December 31, 1989 |
| Project Period | September 1985 - December 31, 1989 |

b. SPECIAL CONDITIONS (Continued)

Special Conditions Continued Next Page

PART IV

NOTE: The Agreement must be completed in duplicate and the Original returned to the Grants Administration Division for Headquarters awards and to the appropriate Grants Administrations Office for State and local awards within 3 calendar weeks after receipt or within any extension of time as may be granted by EPA.

Receipt of a written refusal or failure to return the properly executed document within the prescribed time, may result in the withdrawal of the offer by the Agency. Any change to the Agreement by the recipient subsequent to the document being signed by the EPA Award Official which the Award Official determines to materially alter the Agreement shall void the Agreement.

OFFER AND ACCEPTANCE

The United States of America, acting by and through the U.S. Environmental Protection Agency (EPA), hereby offers assistance/amendment to the Minnesota Pollution Control Agency

for 100 % of all approved costs incurred up to and not exceeding \$11,734,819

for the support of approved budget period effort described in application (including all application modifications)

Amendment Applications February 16, March 13, and April 13, 1989 included herein by reference.

| ISSUING OFFICE (Grants Administration Office) | AWARD APPROVAL OFFICE |
|---|--|
| ORGANIZATION/ADDRESS Grants and Financial Management Branch (5MFG) U.S. Environmental Protection Agency 230 South Dearborn Street Chicago, Illinois 60604 | ORGANIZATION/ADDRESS Waste Management Division (5HSM) U.S. Environmental Protection Agency 230 South Dearborn Street Chicago, Illinois 60604 |

THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY

| SIGNATURE OF AWARD OFFICIAL | TYPED NAME AND TITLE | DATE |
|-----------------------------|---|------|
| | Valdas V. Adamkus, Regional Administrator | |

This Agreement is subject to applicable U.S. Environmental Protection Agency statutory provisions and assistance regulations. In accepting this award or amendment and any payments made pursuant thereto, (1) the undersigned represents that he is duly authorized to act on behalf of the recipient organization, and (2) the recipient agrees (a) that the award is subject to the applicable provisions of 40 CFR Chapter I, Subchapter B and of the provisions of this agreement (Parts I thru IV), and (b) that acceptance of any payments constitutes an agreement by the payee that the amounts, if any found by EPA to have been overpaid will be refunded or credited in full to EPA.

BY AND ON BEHALF OF THE DESIGNATED RECIPIENT ORGANIZATION

| SIGNATURE | TYPED NAME AND TITLE | DATE |
|-----------|----------------------|------|
| | | |

74b. Budgets Approved in this Amendment

Kummer RI/FS

| <u>Object Class</u> | <u>Current Approved Budget</u> | <u>Change Increase</u> | <u>Approved Revised Budget</u> |
|----------------------------|--|----------------------------|--|
| Personnel | \$ 69,928 | \$ 9,515 | \$ 79,443 |
| Fringe Benefits | 12,583 | 1,712 | 14,295 |
| Travel | 8,302 | 2,140 | 10,442 |
| Equipment | 4,500 | -0- | 4,500 |
| Supplies | 240 | -0- | 240 |
| Contractual | 974,334 | 52,155 | 1,026,489 |
| Construction | -0- | -0- | -0- |
| Other | 765 | 450 | 1,215 |
| Total Direct Charges | \$1,070,652 | \$ 65,972 | \$1,136,624 |
| Indirect Costs | 29,781 | 4,075 | 33,856 |
| Total Project Cost | \$1,100,433 | \$ 70,047 | \$1,170,480 |
| Total Assistance Amount | \$ 989,107 | \$ 70,047 | \$1,059,154 |
| Total Non-Federal Share | \$ 111,326* | \$ -0- | \$ 111,326 |

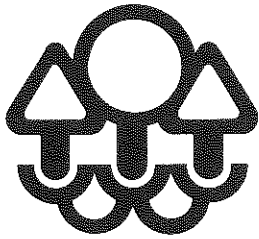
*The State will apply this advance match to future remedial action phases of the project.

Kummer RD

| <u>Object Class</u> | <u>Current Approved Budget</u> | <u>Correction Change</u> | <u>Approved Revised Budget</u> |
|----------------------------|--|------------------------------|--|
| Personnel | \$ 33,340 | \$ (3,500) | \$ 29,840 |
| Fringe Benefits | 6,000 | (630) | 5,370 |
| Travel | 6,960 | -0- | 6,960 |
| Equipment | 120 | -0- | 120 |
| Supplies | -0- | -0- | -0- |
| Contractual | 618,189 | 5,630 | 623,819 |
| Construction | -0- | -0- | -0- |
| Other | 140 | -0- | 140 |
| Total Direct Charges | \$ 664,749 | \$ 1,500 | \$ 666,249 |
| Indirect Costs | 13,870 | (1,500) | 12,370 |
| Total Project Costs | \$ 678,619 | \$ -0- | \$ 678,619 |
| Total Assistance Amount | \$ 678,619 | \$ -0- | \$ 678,619 |
| Total Non-Federal Share | \$ -0- | \$ -0- | \$ -0- |

Kummer RA (Northern Township Operable Unit)

| <u>Object Class</u> | <u>Current Approved Budget</u> | <u>Change Increase</u> | <u>Approved Revised Budget</u> |
|----------------------------|--|----------------------------|--|
| Personnel | \$ 26,246 | \$ -0- | \$ 26,246 |
| Fringe Benefits | 4,724 | -0- | 4,724 |
| Travel | 1,970 | 380 | 2,350 |
| Equipment | -0- | -0- | -0- |
| Supplies | -0- | -0- | -0- |
| Contractual | -0- | 3,860 | 3,860 |
| Construction | 2,074,866 | -0- | 2,074,866 |
| Other | 290 | -0- | 290 |
| Total Direct Charges | \$2,108,096 | \$ 4,240 | \$2,112,336 |
| Indirect Costs | 10,360 | -0- | 10,360 |
| Total Project Cost | \$2,118,456 | \$ 4,240 | \$2,122,696 |
| Total Assistance Amount | \$1,951,245 | \$ 3,816 | \$1,955,061 |
| Total Non-Federal Share | \$ 167,211 | \$ 424 | \$ 167,635 |



Minnesota Pollution Control Agency

520 Lafayette Road, Saint Paul, Minnesota 55155

Telephone (612) 296-6300



O: PMD~
CC: RF

August 22, 1989

Mr. Valdas Adamkus
Regional Administrator
U.S. Environmental Protection Agency
230 South Dearborn Street
Chicago, Illinois 60604

Dear Mr. Adamkus:

RE: Multi-Site Cooperative Agreement Amendment #25, #V005794-01-25

I am pleased to accept the above referenced amendment. The receipt of an additional \$66,178 will allow us to proceed with the LeHillier extended remedial action project. We appreciate your staff's effort in securing these additional funds. Enclosed are an original and two copies of the executed document. I look forward to continued growth of our Multi Site Cooperative Agreement.

Sincerely,

Gerald L. Willet
Commissioner

GW/sa

Enclosures

cc: Lois Betka, U.S. Environmental Protection Agency

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AUG 28 1989

U. S. EPA REGION 5
OFFICE OF REGIONAL ADMINISTRATOR

PART II-APPROVED BUDGET

ASSISTANCE IDENTIFICATION NO. V005794-01-25

| TABLE A - OBJECT CLASS CATEGORY (Non-construction) | | TOTAL APPROVED ALLOWABLE BUDGET PERIOD COST |
|--|--|--|
| 1. PERSONNEL | | \$ 782,111 |
| 2. FRINGE BENEFITS | | 140,414 |
| 3. TRAVEL | | 73,164 |
| 4. EQUIPMENT | | 5,170 |
| 5. SUPPLIES | | 6,844 |
| 6. CONTRACTUAL | | 7,617,326 |
| 7. CONSTRUCTION | | 3,290,057 |
| 8. OTHER | | 33,457 |
| 9. TOTAL DIRECT CHARGES | | 11,948,543 |
| 10. INDIRECT COSTS: RATE % BASE | | 332,053 |
| 11. TOTAL (Share: Recipient _____ % Federal _____ %) | | \$ 12,280,596 |
| 12. TOTAL APPROVED ASSISTANCE AMOUNT | | \$ 11,800,997 |
| TABLE B - PROGRAM ELEMENT CLASSIFICATION (Non-construction) | | |
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |
| 6. | | |
| 7. | | |
| 8. | | |
| 9. | | |
| 10. | | |
| 11. | | |
| 12. TOTAL (Share: Recipient _____ % Federal _____ %) | | |
| 13. TOTAL APPROVED ASSISTANCE AMOUNT | | \$ |
| TABLE C - PROGRAM ELEMENT CLASSIFICATION (Construction) | | |
| 1. ADMINISTRATION EXPENSE | | |
| 2. PRELIMINARY EXPENSE | | |
| 3. LAND STRUCTURES, RIGHT-OF-WAY | | |
| 4. ARCHITECTURAL ENGINEERING BASIC FEES | | |
| 5. OTHER ARCHITECTURAL ENGINEERING FEES | | |
| 6. PROJECT INSPECTION FEES | | |
| 7. LAND DEVELOPMENT | | |
| 8. RELOCATION EXPENSES | | |
| 9. RELOCATION PAYMENTS TO INDIVIDUALS AND BUSINESSES | | |
| 10. DEMOLITION AND REMOVAL | | |
| 11. CONSTRUCTION AND PROJECT IMPROVEMENT | | |
| 12. EQUIPMENT | | |
| 13. MISCELLANEOUS | | |
| 14. TOTAL (Lines 1 thru 13) | | |
| 15. ESTIMATED INCOME (If applicable) | | |
| 16. NET PROJECT AMOUNT (Line 14 minus 15) | | |
| 17. LESS: INELIGIBLE EXCLUSIONS | | |
| 18. ADD: CONTINGENCIES | | |
| 19. TOTAL (Share: Recipient _____ % Federal _____ %) | | |
| 20. TOTAL APPROVED ASSISTANCE AMOUNT | | \$ |

PART III-AWARD CONDITIONS

a. GENERAL CONDITIONS

The recipient covenants and agrees that it will expeditiously initiate and timely complete the project work for which assistance has been awarded under this agreement, in accordance with all applicable provisions of 40 CFR Chapter I, Subpart B. The recipient warrants, represents, and agrees that it, and its contractors, subcontractors, employees and representatives, will comply with: (1) all applicable provisions of 40 CFR Chapter I, Subchapter B, INCLUDING BUT NOT LIMITED TO the provisions of Appendix A to 40 CFR Part 30, and (2) any special conditions set forth in this assistance agreement or any assistance amendment pursuant to 40 CFR 30.425.

b. SPECIAL CONDITIONS:

(For cooperative agreements include identification or summarization of EPA responsibilities that reflect or contribute to substantial involvement.)

The LeHillier RA budget and budget/project periods are revised as follows:

LeHillier Extended RA Shakedown Period

Budget Period August 8, 1989 - August 7, 1990
Project Period August 8, 1989 - August 7, 1990

Le Hillier Site, Remedial Action Budget

| <u>Object</u> <u>Class</u> | <u>Existing</u> <u>Budget</u> | <u>Requested</u> <u>Increase</u> | <u>Approved</u> <u>Revised</u> <u>Budget</u> |
|-------------------------------|----------------------------------|-------------------------------------|--|
| Personnel | \$ 58,370 | \$ 0 | \$ 58,370 |
| Fringe Benefits | 10,505 | 0 | 10,505 |
| Travel | 7,760 | 0 | 7,760 |
| Equipment | -0- | 0 | 0 |
| Supplies | -0- | 0 | 0 |
| Contractual | 294,890 | 66,178 | 361,068 |
| Construction | 1,210,243 | 0 | 1,210,243 |
| Other | -0- | 0 | 0 |
| Direct Charges | \$ 1,581,768 | \$ 66,178 | \$ 1,647,946 |
| Indirect | 26,175 | 0 | 26,175 |
| Total | \$ 1,607,943 | \$ 66,178 | \$ 1,674,121 |
| Assistance | | | |
| Amount | \$ 1,607,943 | \$ 66,178 | \$ 1,674,121* |
| Non-Federal | | | |
| Contribution | *\$ -0- | *\$ -0- | \$ -0- |

*The State's required match is 10% of the total remedial action project cost, or \$167,412. The State has previously requested (see application dated March 8, 1988) that the \$169,920 provided by the State as advance match during remedial design be applied toward the State's share of this remedial action.

b. SPECIAL CONDITIONS (Continued)

The South Andover budget and project periods are revised as follows:

Budget Period: June 30, 1988 - December 31, 1990

Project Period: June 30, 1988 - December 31, 1990

PART IV

NOTE: The Agreement must be completed in duplicate and the Original returned to the Grants Administration Division for Headquarters awards and to the appropriate Grants Administrations Office for State and local awards within 3 calendar weeks after receipt or within any extension of time as may be granted by EPA.

Receipt of a written refusal or failure to return the properly executed document within the prescribed time, may result in the withdrawal of the offer by the Agency. Any change to the Agreement by the recipient subsequent to the document being signed by the EPA Award Official which the Award Official determines to materially alter the Agreement shall void the Agreement.

OFFER AND ACCEPTANCE

The United States of America, acting by and through the U.S. Environmental Protection Agency (EPA), hereby offers assistance/amendment to the Minnesota Pollution Control Agency

for 100 % of all approved costs incurred up to and not exceeding \$ 11,800,997

RECIPIENT ORGANIZATION

ASSISTANCE AMOUNT

for the support of approved budget period effort described in application (including all application modifications)

June 9, 1989 LeHillier RA and June, 1989 South Andover Amendment included herein by reference.

DATE AND TITLE

ISSUING OFFICE (Grants Administration Office)

AWARD APPROVAL OFFICE

ORGANIZATION/ADDRESS

Contracts and Grants Branch (5CGB)
U.S. Environmental Protection Agency
230 South Dearborn Street
Chicago, Illinois 60604

ORGANIZATION/ADDRESS

Waste Management Division (5HSM)
U.S. Environmental Protection Agency
230 South Dearborn Street
Chicago, Illinois 60604

THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY

SIGNATURE OF AWARD OFFICIAL

TYPED NAME AND TITLE

DATE

Valdas V. Adamkus, Regional Administrator

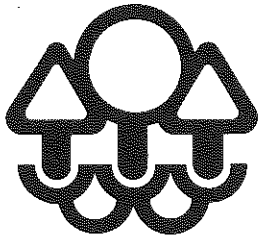
This Agreement is subject to applicable U.S. Environmental Protection Agency statutory provisions and assistance regulations. In accepting this award or amendment and any payments made pursuant thereto, (1) the undersigned represents that he is duly authorized to act on behalf of the recipient organization, and (2) the recipient agrees (a) that the award is subject to the applicable provisions of 40 CFR Chapter I, Subchapter B and of the provisions of this agreement (Parts I thru IV), and (b) that acceptance of any payments constitutes an agreement by the payee that the amounts, if any found by EPA to have been overpaid will be refunded or credited in full to EPA.

BY AND ON BEHALF OF THE DESIGNATED RECIPIENT ORGANIZATION

SIGNATURE

TYPED NAME AND TITLE

DATE



Minnesota Pollution Control Agency

520 Lafayette Road, Saint Paul, Minnesota 55155

Telephone (612) 296-6300



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SEP 25 1989

O: PMD /
CC: RF

September 1, 1989

SUPERFUND PROGRAM
MANAGEMENT BRANCH


Mr. Valdas Adamkus
Regional Administrator
U.S. Environmental Protection Agency
230 South Dearborn Street
Chicago, Illinois 60604

Dear Mr. Adamkus:

RE: Multi-Site Cooperative Agreement Amendment #26, #V005794-01-26

I am pleased to accept the above referenced amendment. The receipt of an additional \$100,000 will allow us to proceed with the Ritari Remedial Investigation project. We appreciate your staff's effort in securing these additional funds. Enclosed are an original and two copies of the executed document. I look forward to continued growth of our Multi Site Cooperative Agreement.

Sincerely,


Gerald L. Willet
Commissioner

GW/sa

Enclosures

cc: Lois Betka, U.S. Environmental Protection Agency

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SEP 08 1989

U. S. EPA REGION 5
OFFICE OF REGIONAL ADMINISTRATOR

| U.S. ENVIRONMENTAL PROTECTION AGENCY EPA ASSISTANCE AGREEMENT/AMENDMENT PART I - ASSISTANCE NOTIFICATION INFORMATION | | | | | 1. ASSIS. JCE ID NO. V005794-01-26 | | 2. LOG NUMBER | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|---|--|---|---|---|---|--------------|--|--|--------------------------------|----------------------|--------------------|--|----------------------------|---------------------|----------------------|---------------------|------------------------|------------------|--|--|-----------------------------------|--|--|--|-------------------------|--|--|--|----------------------------|--|--|--|------------------------|----------------|------------|----------------|------------------------|--|--|--|------------------------|--|--|--|----------------------------|---------------------|------------------|---------------------|
| | | | | | 3. DATE OF AWARD AUG 11 1989 | | 4. MAILING DATE AUG 18 1989 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 5. AGREEMENT TYPE <input type="checkbox"/> Cooperative Agreement <input type="checkbox"/> Grant Agreement <input checked="" type="checkbox"/> Assistance Amendment | | | | 6. PAYMENT METHOD <input type="checkbox"/> Advance <input type="checkbox"/> Reimbursement <input checked="" type="checkbox"/> Letter of Credit 68-13-0502 Send Payment Request To: | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | 7. TYPE OF ACTION Augmentation/Increase | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| RECIPIENT ORGANIZATION | 8. RECIPIENT Minnesota Pollution Control Agency 520 Lafayette Road St. Paul, Minnesota 55155 | | | | 9. PAYEE Same as Block 8 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | EIN NO. 41-6007162 | | CONGRESSIONAL DISTRICT Statewide | | 10. RECIPIENT TYPE State | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 11. PROJECT MANAGER AND TELEPHONE NO. Gordon Meyer (612) 296-7333 (Same address as Block 8) | | | | 12. CONSULTANT (WWT Construction Grants Only) N/A | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| EPA CONTACT | 13. ISSUING OFFICE (City/State) Chicago, Illinois | | | | 14. EPA PROJECT/STATE OFFICER AND TELEPHONE NO. Lois Betka (5HSM) (312) 886-6399 State and IAG Relations Unit, SPMB U.S. Environmental Protection Agency 230 South Dearborn Street Chicago, Illinois 60604 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 15. EPA CONGRESSIONAL LIAISON & TEL. NO. Pat Gaskins (202) 382-5184 | | 16. STATE APPL ID (Clearinghouse) | | 17. FIELD OF SCIENCE N/A | | 18. PROJECT STEP (WWT CG Only) N/A | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 19. STATUTORY AUTHORITY P.L. 96-510 | | 20. REGULATORY AUTHORITY 40 CFR Parts 29, 30, 32, 33 and 35 | | 21. STEP 2 + 3 & STEP 3 (WWT Construction Only) <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td>a. Treatment Level</td> <td></td> <td></td> </tr> <tr> <td>b. Project Type</td> <td>N</td> <td></td> </tr> <tr> <td>c. Treatment Process</td> <td></td> <td>A</td> </tr> <tr> <td>d. Sludge Design</td> <td></td> <td></td> </tr> </table> | | | | a. Treatment Level | | | b. Project Type | N | | c. Treatment Process | | A | d. Sludge Design | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| a. Treatment Level | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| b. Project Type | N | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| c. Treatment Process | | A | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| d. Sludge Design | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 22. PROJECT TITLE AND DESCRIPTION This Amendment increases the budget and extends the project and budget periods for the Ritari Remedial Investigation/Feasibility Study project. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 23. PROJECT LOCATION (Areas Impacted by Project) <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td>City/Place Statewide</td> <td>County N/A</td> <td>State MN</td> <td>Congressional District Statewide</td> </tr> </table> | | | | | | | | | City/Place Statewide | County N/A | State MN | Congressional District Statewide | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| City/Place Statewide | County N/A | State MN | Congressional District Statewide | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 24. ASSISTANCE PROGRAM (CFDA Program No. & Title) 66.802 Superfund | | 25. PROJECT PERIOD 10/01/84 - 06/30/91 | | 26. BUDGET PERIOD 10/01/84 - 06/30/91 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 27. COMMUNITY POPULATION (WWT CG Only) N/A | | 28. TOTAL BUDGET PERIOD COST \$12,380,596 | | 29. TOTAL PROJECT PERIOD COST \$12,380,596 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>FUNDS</th> <th>FORMER AWARD</th> <th>THIS ACTION</th> <th>AMENDED TOTAL</th> </tr> </thead> <tbody> <tr> <td>30. EPA Amount This Action</td> <td>\$11,800,997</td> <td>\$100,000</td> <td>\$11,900,997</td> </tr> <tr> <td>31. EPA In-Kind Amount</td> <td></td> <td></td> <td></td> </tr> <tr> <td>32. Unexpended Prior Year Balance</td> <td></td> <td></td> <td></td> </tr> <tr> <td>33. Other Federal Funds</td> <td></td> <td></td> <td></td> </tr> <tr> <td>34. Recipient Contribution</td> <td></td> <td></td> <td></td> </tr> <tr> <td>35. State Contribution</td> <td>479,599</td> <td>-0-</td> <td>479,599</td> </tr> <tr> <td>36. Local Contribution</td> <td></td> <td></td> <td></td> </tr> <tr> <td>37. Other Contribution</td> <td></td> <td></td> <td></td> </tr> <tr> <td>38. Allowable Project Cost</td> <td>\$12,280,596</td> <td>\$100,000</td> <td>\$12,380,596</td> </tr> </tbody> </table> | | | | | | | | | FUNDS | FORMER AWARD | THIS ACTION | AMENDED TOTAL | 30. EPA Amount This Action | \$11,800,997 | \$100,000 | \$11,900,997 | 31. EPA In-Kind Amount | | | | 32. Unexpended Prior Year Balance | | | | 33. Other Federal Funds | | | | 34. Recipient Contribution | | | | 35. State Contribution | 479,599 | -0- | 479,599 | 36. Local Contribution | | | | 37. Other Contribution | | | | 38. Allowable Project Cost | \$12,280,596 | \$100,000 | \$12,380,596 |
| FUNDS | FORMER AWARD | THIS ACTION | AMENDED TOTAL | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 30. EPA Amount This Action | \$11,800,997 | \$100,000 | \$11,900,997 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 31. EPA In-Kind Amount | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 32. Unexpended Prior Year Balance | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 33. Other Federal Funds | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 34. Recipient Contribution | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 35. State Contribution | 479,599 | -0- | 479,599 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 36. Local Contribution | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 37. Other Contribution | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 38. Allowable Project Cost | \$12,280,596 | \$100,000 | \$12,380,596 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 39. FISCAL | Program Element | FY | Appropriation | Doc. Control No. | Account Number | Object Class | Obligation/Deoblig. Amount | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | TFAY7A | 89 | 68-20X115 | 1F0026 | 9TFAY7AFL7Q | 4165 | 100,000 - | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

| TABLE A - OBJECT CLASS CATEGORY (Non-construction) | | TOTAL APPROVED ALLOWABLE BUDGET PERIOD COST |
|--|--|--|
| 1. PERSONNEL | | \$ 782,111 |
| 2. FRINGE BENEFITS | | 140,414 |
| 3. TRAVEL | | 73,164 |
| 4. EQUIPMENT | | 5,170 |
| 5. SUPPLIES | | 6,844 |
| 6. CONTRACTUAL | | 7,717,326 |
| 7. CONSTRUCTION | | 3,290,057 |
| 8. OTHER | | 33,457 |
| 9. TOTAL DIRECT CHARGES | | 12,048,543 |
| 10. INDIRECT COSTS: RATE % BASE | | 332,053 |
| 11. TOTAL (Share: Recipient _____% Federal _____%) | | \$12,380,596 |
| 12. TOTAL APPROVED ASSISTANCE AMOUNT | | \$ 11,900,997 |
| TABLE B - PROGRAM ELEMENT CLASSIFICATION (Non-construction) | | |
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |
| 6. | | |
| 7. | | |
| 8. | | |
| 9. | | |
| 10. | | |
| 11. | | |
| 12. TOTAL (Share: Recipient _____% Federal _____%) | | |
| 13. TOTAL APPROVED ASSISTANCE AMOUNT | | \$ |
| TABLE C - PROGRAM ELEMENT CLASSIFICATION (Construction) | | |
| 1. ADMINISTRATION EXPENSE | | |
| 2. PRELIMINARY EXPENSE | | |
| 3. LAND STRUCTURES, RIGHT-OF-WAY | | |
| 4. ARCHITECTURAL ENGINEERING BASIC FEES | | |
| 5. OTHER ARCHITECTURAL ENGINEERING FEES | | |
| 6. PROJECT INSPECTION FEES | | |
| 7. LAND DEVELOPMENT | | |
| 8. RELOCATION EXPENSES | | |
| 9. RELOCATION PAYMENTS TO INDIVIDUALS AND BUSINESSES | | |
| 10. DEMOLITION AND REMOVAL | | |
| 11. CONSTRUCTION AND PROJECT IMPROVEMENT | | |
| 12. EQUIPMENT | | |
| 13. MISCELLANEOUS | | |
| 14. TOTAL (Lines 1 thru 13) | | |
| 15. ESTIMATED INCOME (If applicable) | | |
| 16. NET PROJECT AMOUNT (Line 14 minus 15) | | |
| 17. LESS: INELIGIBLE EXCLUSIONS | | |
| 18. ADD: CONTINGENCIES | | |
| 19. TOTAL (Share: Recipient _____% Federal _____%) | | |
| 20. TOTAL APPROVED ASSISTANCE AMOUNT | | \$ |

b. SPECIAL CONDITIONS (Continued)

The Ritari RI/FS budget/project periods are revised as follows:

| | |
|----------------|---------------------------|
| Budget Period | July 1987 - June 30, 1991 |
| Project Period | July 1987 - June 30, 1991 |

The Ritari RI/FS budget is revised as follows:

| | |
|----------------------|-----------|
| Personnel | \$ 19,666 |
| Fringe Benefits | 3,540 |
| Travel | 1,535 |
| Equipment | 200 |
| Supplies | 310 |
| Contractual | 300,000 |
| Construction | -0- |
| Other | 340 |
| Total Direct Charges | \$325,591 |
| Indirect Charges | 8,414 |
| Total | \$334,005 |
| Federal Funds | \$334,005 |
| Non-Federal Funds | \$ -0- |

PART IV

NOTE: The Agreement must be completed in duplicate and the Original returned to the Grants Administration Division for Headquarters awards and to the appropriate Grants Administrations Office for State and local awards within 3 calendar weeks after receipt or within any extension of time as may be granted by EPA.

Receipt of a written refusal or failure to return the properly executed document within the prescribed time, may result in the withdrawal of the offer by the Agency. Any change to the Agreement by the recipient subsequent to the document being signed by the EPA Award Official which the Award Official determines to materially alter the Agreement shall void the Agreement.

OFFER AND ACCEPTANCE

The United States of America, acting by and through the U.S. Environmental Protection Agency (EPA), hereby offers assistance/amendment to the Minnesota Pollution Control Agency
100% RI/FS, RD; 90% RA
for 100% of all approved costs incurred up to and not exceeding \$ 11,900,997

for the support of approved budget period effort described in application (including all application modifications)

Amendment for Ritari dated July, 1989

included herein by reference.

ISSUING OFFICE (Grants Administration Office)

ORGANIZATION/ADDRESS

Contracts and Grants Branch (5CGB)
U.S. Environmental Protection Agency
230 South Dearborn Street
Chicago, Illinois 60604

AWARD APPROVAL OFFICE

ORGANIZATION/ADDRESS

Waste Management Division (5HSM)
U.S. Environmental Protection Agency
230 South Dearborn Street
Chicago, Illinois 60604

THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY

SIGNATURE OF AWARD OFFICIAL

TYPED NAME AND TITLE

DATE

Valdas V. Adamkus, Regional Administrator

11 AUG 1989

This Agreement is subject to applicable U.S. Environmental Protection Agency statutory provisions and assistance regulations. In accepting this award or amendment and any payments made pursuant thereto, (1) the undersigned represents that he is duly authorized to act on behalf of the recipient organization, and (2) the recipient agrees (a) that the award is subject to the applicable provisions of 40 CFR Chapter I, Subchapter B and of the provisions of this agreement (Parts I thru IV), and (b) that acceptance of any payments constitutes an agreement by the payee that the amounts, if any found by EPA to have been overpaid will be refunded or credited in full to EPA.

BY AND ON BEHALF OF THE DESIGNATED RECIPIENT ORGANIZATION

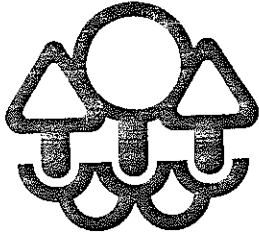
SIGNATURE

TYPED NAME AND TITLE

DATE

Gerald L. Willet, Commissioner

9-1-89



Minnesota Pollution Control Agency

520 Lafayette Road, Saint Paul, Minnesota 55155

Telephone (612) 296-6300



O: PMD -
CC: RF

October 12, 1989

Mr. Valdas Adamkus
Regional Administrator
U.S. Environmental Protection Agency
230 South Dearborn Street
Chicago, Illinois 60604

Dear Mr. Adamkus:

RE: Multi-Site Cooperative Agreement Amendment #27, #V005794-01-27

I am pleased to accept the above referenced amendment. The receipt of an additional \$419,634 will allow the Minnesota Pollution Control Agency to proceed with the remedial investigations at the Oak Grove and Perham Arsenic sites. We appreciate your staff's effort in securing these additional funds. Enclosed are an original and two copies of the executed document. I look forward to the continued success of our Multi-Site Cooperative Agreement.

Sincerely,

for Barbara L. Willet
Gerald L. Willet
Commissioner

GLW:cd

Enclosures

cc: Lois Betka, U.S. Environmental Protection Agency

RECEIVED

OCT 16 1989

U. S. EPA REGION 5
OFFICE OF REGIONAL ADMINISTRATOR

U.S. ENVIRONMENTAL PROTECTION AGENCY
EPA ASSISTANCE AGREEMENT/AMENDMENT
PART I - ASSISTANCE NOTIFICATION INFORMATION

1. ASSISTANCE ID NO. V005794-01-27
2. LOG NUMBER
3. DATE OF AWARD SEP 22 1989
4. MAILING DATE SEP 20 1989

5. AGREEMENT TYPE
Cooperative Agreement ☒ Grant Agreement Assistance Amendment ☒
6. PAYMENT METHOD
☐ Advance ☐ Reimbursement ☒ Letter of Credit 68-13-0502
7. TYPE OF ACTION
Augmentation/Increase

8. RECIPIENT
Minnesota Pollution Control Agency
520 Lafayette Road
St. Paul, Minnesota 55155
9. PAYEE
Same as Block 8

EIN NO. 41-6007162 CONGRESSIONAL DISTRICT Statewide
10. RECIPIENT TYPE
State

11. PROJECT MANAGER AND TELEPHONE NO.
Gordon Meyer
(612) 296-7333
(Same address as Block 8)
12. CONSULTANT (WWT Construction Grants Only)
N/A

13. ISSUING OFFICE (City/State)
Chicago, Illinois
14. EPA PROJECT/STATE OFFICER AND TELEPHONE NO.
Lois Betka (5HSM) (312) 886-6399
State and IAG Relations Unit, SPMB
U.S. Environmental Protection Agency
230 South Dearborn Street
Chicago, Illinois 60604

15. EPA CONGRESSIONAL LIAISON & TEL. NO.
Pat Gaskins (202) 382-5184
16. STATE APPL ID (Clearinghouse)
17. FIELD OF SCIENCE N/A
18. PROJECT STEP (WWT CG Only) N/A

19. STATUTORY AUTHORITY
P.L. 96-510
20. REGULATORY AUTHORITY
40 CFR Parts 29, 30,
32, 33 and 35
21. STEP 2 + 3 & STEP 3 (WWT Construction Only)
a. Treatment Level
b. Project Type N
c. Treatment Process A
d. Sludge Design

22. PROJECT TITLE AND DESCRIPTION
This Amendment increases the budget for the Oak Grove RI/FS and extends the budget and project periods for the RI/FS and RD at Oak Grove. It also adds a new RI/FS at the Perham Arsenic site.

23. PROJECT LOCATION (Areas Impacted by Project)

City/Place Statewide County N/A State MN Congressional District Statewide

24. ASSISTANCE PROGRAM (CFDA Program No. & Title) 66.802 Superfund
25. PROJECT PERIOD 10/01/84 - 06/30/91
26. BUDGET PERIOD 10/01/84 - 06/30/91

27. COMMUNITY POPULATION (WWT CG Only) N/A
28. TOTAL BUDGET PERIOD COST \$12,800,230
29. TOTAL PROJECT PERIOD COST \$12,800,230

| FUNDS | FORMER AWARD | THIS ACTION | AMENDED TOTAL |
|-----------------------------------|--------------|-------------|---------------|
| 30. EPA Amount This Action | \$11,900,997 | \$419,634 | \$12,320,631 |
| 31. EPA In-Kind Amount | | | |
| 32. Unexpended Prior Year Balance | | | |
| 33. Other Federal Funds | | | |
| 34. Recipient Contribution | | | |
| 35. State Contribution | 479,599 | -0- | 479,599 |
| 36. Local Contribution | | | |
| 37. Other Contribution | | | |
| 38. Allowable Project Cost | \$12,380,596 | \$419,634 | \$12,800,230 |

39. FISCAL
Program Element FY Appropriation Doc. Control No. Account Number Object Class Obligation/Deoblig. Amount
TFAY9A 89 68-20X81A BFC039 9TFASA FLX8 4185 170,706
" " " BFC037 9TFASA FL69 " 245,928

PART II-APPROVED BUDGE

ASSISTANCE IDEN

CATION NO. V005794-01-27

| TABLE A - OBJECT CLASS CATEGORY (Non-construction) | TOTAL APPROVED ALLOWABLE BUDGET PERIOD COST |
|--|--|
| 1. PERSONNEL | \$ 817,404 |
| 2. FRINGE BENEFITS | 146,767 |
| 3. TRAVEL | 75,963 |
| 4. EQUIPMENT | 5,420 |
| 5. SUPPLIES | 6,944 |
| 6. CONTRACTUAL | 8,077,326 |
| 7. CONSTRUCTION | 3,290,057 |
| 8. OTHER | 33,797 |
| 9. TOTAL DIRECT CHARGES | 12,453,678 |
| 10. INDIRECT COSTS: RATE % BASE | 346,552 |
| 11. TOTAL (Share: Recipient _____ % Federal _____ %) | \$12,800,230 |
| 12. TOTAL APPROVED ASSISTANCE AMOUNT | \$ 12,320,631 |
| TABLE B - PROGRAM ELEMENT CLASSIFICATION (Non-construction) | |
| 1. | |
| 2. | |
| 3. | |
| 4. | |
| 5. | |
| 6. | |
| 7. | |
| 8. | |
| 9. | |
| 10. | |
| 11. | |
| 12. TOTAL (Share: Recipient _____ % Federal _____ %) | |
| 13. TOTAL APPROVED ASSISTANCE AMOUNT | \$ |
| TABLE C - PROGRAM ELEMENT CLASSIFICATION (Construction) | |
| 1. ADMINISTRATION EXPENSE | |
| 2. PRELIMINARY EXPENSE | |
| 3. LAND STRUCTURES, RIGHT-OF-WAY | |
| 4. ARCHITECTURAL ENGINEERING BASIC FEES | |
| 5. OTHER ARCHITECTURAL ENGINEERING FEES | |
| 6. PROJECT INSPECTION FEES | |
| 7. LAND DEVELOPMENT | |
| 8. RELOCATION EXPENSES | |
| 9. RELOCATION PAYMENTS TO INDIVIDUALS AND BUSINESSES | |
| 10. DEMOLITION AND REMOVAL | |
| 11. CONSTRUCTION AND PROJECT IMPROVEMENT | |
| 12. EQUIPMENT | |
| 13. MISCELLANEOUS | |
| 14. TOTAL (Lines 1 thru 13) | |
| 15. ESTIMATED INCOME (If applicable) | |
| 16. NET PROJECT AMOUNT (Line 14 minus 15) | |
| 17. LESS: INELIGIBLE EXCLUSIONS | |
| 18. ADD: CONTINGENCIES | |
| 19. TOTAL (Share: Recipient _____ % Federal _____ %) | |
| 20. TOTAL APPROVED ASSISTANCE AMOUNT | \$ |

PART III-AWARD CONDITIONS

a. GENERAL CONDITIONS

The recipient covenants and agrees that it will expeditiously initiate and timely complete the project work for which assistance has been awarded under this agreement, in accordance with all applicable provisions of 40 CFR Chapter I, Subpart B. The recipient warrants, represents, and agrees that it, and its contractors, subcontractors, employees and representatives, will comply with: (1) all applicable provisions of 40 CFR Chapter I, Subchapter B, INCLUDING BUT NOT LIMITED TO the provisions of Appendix A to 40 CFR Part 30, and (2) any special conditions set forth in this assistance agreement or any assistance amendment pursuant to 40 CFR 30.425.

b. SPECIAL CONDITIONS

(For cooperative agreements include identification or summarization of EPA responsibilities that reflect or contribute to substantial involvement.)

The revised budget for the Oak Grove Sanitary Landfill site RI/FS is as follows:

| <u>Category</u> | <u>RI/FS</u> |
|----------------------------------|--------------|
| Personnel | \$ 113,383 |
| Fringe Benefits | 20,409 |
| Travel | 2,000 |
| Equipment | -0- |
| Supplies | 3,750 |
| Contractual | 1,084,000 |
| Construction | -0- |
| Other | 2,700 |
| Total Direct Charges | \$1,226,242 |
| Indirect Charges | 49,341 |
| Total | \$1,275,583 |
| Total Approved Assistance Amount | \$1,275,583 |

The budget for the Perham Arsenic site RI/FS is as follows:

| <u>Category</u> | <u>RI/FS</u> |
|----------------------------------|--------------|
| Personnel | \$ 22,793 |
| Fringe Benefits | 4,103 |
| Travel | 2,197 |
| Equipment | 250 |
| Supplies | 100 |
| Contractual | 210,000 |
| Construction | -0- |
| Other | 340 |
| Total Direct Charges | \$239,783 |
| Indirect Charges | 9,145 |
| Total | \$248,928 |
| Total Approved Assistance Amount | \$248,928 |

b. SPECIAL CONDITIONS (Continued)

The Oak Grove RI/FS budget/project periods are revised as follows:

Budget Period June 1985 - September 30, 1990
Project Period June 1985 - September 30, 1990

The Oak Grove RD budget/project periods are revised as follows:

Budget Period January 1, 1989 - September 30, 1990
Project Period January 1, 1989 - September 30, 1990

The Perham RI/FS budget/project periods are as follows:

Budget Period October 1, 1989 - September 30, 1991
Project Period October 1, 1989 - September 30, 1991

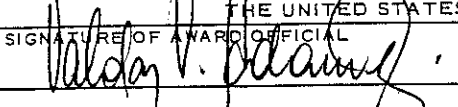
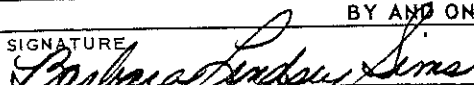
PART IV

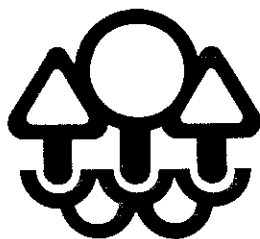
NOTE: The Agreement must be completed in duplicate and the Original returned to the Grants Administration Division for Headquarters awards and to the appropriate Grants Administrations Office for State and local awards within 3 calendar weeks after receipt or within any extension of time as may be granted by EPA.

Receipt of a written refusal or failure to return the properly executed document within the prescribed time, may result in the withdrawal of the offer by the Agency. Any change to the Agreement by the recipient subsequent to the document being signed by the EPA Award Official which the Award Official determines to materially alter the Agreement shall void the Agreement.

OFFER AND ACCEPTANCE

The United States of America, acting by and through the U.S. Environmental Protection Agency (EPA), hereby offers assistance/amendment to the Minnesota Pollution Control Agency for 100 % of all approved costs incurred up to and not exceeding \$ 12,320,631 for the support of approved budget period effort described in application (including all application modifications) Amendment Applications dated August 14 and 29, 1989 included herein by reference.

| | | | |
|--|---|--|---------------------|
| ISSUING OFFICE (Grants Administration Office) | | AWARD APPROVAL OFFICE | |
| ORGANIZATION/ADDRESS Contracts and Grants Branch (5CGB) U.S. Environmental Protection Agency 230 South Dearborn Street Chicago, Illinois 60604 | | ORGANIZATION/ADDRESS Waste Management Division (5HSM) U.S. Environmental Protection Agency 230 South Dearborn Street Chicago, Illinois 60604 | |
| THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY | | | |
| SIGNATURE OF AWARD OFFICIAL  | TYPED NAME AND TITLE Valdas V. Adamkus, Regional Administrator | | DATE 22 SEP 1989 |
| This Agreement is subject to applicable U.S. Environmental Protection Agency statutory provisions and assistance regulations. In accepting this award or amendment and any payments made pursuant thereto, (1) the undersigned represents that he is duly authorized to act on behalf of the recipient organization, and (2) the recipient agrees (a) that the award is subject to the applicable provisions of 40 CFR Chapter I, Subchapter B and of the provisions of this agreement (Parts I thru IV), and (b) that acceptance of any payments constitutes an agreement by the payee that the amounts, if any found by EPA to have been overpaid will be refunded or credited in full to EPA. | | | |
| BY AND ON BEHALF OF THE DESIGNATED RECIPIENT ORGANIZATION | | | |
| SIGNATURE  | TYPED NAME AND TITLE Barbara Lindsey Sims, Deputy Commissioner | | DATE 10-10-89 |



Minnesota Pollution Control Agency

520 Lafayette Road, Saint Paul, Minnesota 55155

Telephone (612) 296-6300



RECEIVED
JAN 29 1990

January 24, 1990
Mr. Valdas Adamkus
Regional Administrator
U.S. Environmental Protection Agency
230 South Dearborn Street
Chicago, Illinois 60604

SUPERFUND PROGRAM
MANAGEMENT BRANCH

Dear Mr. Adamkus:

RE: Multi-Site Cooperative Agreement V005794-01-28; Interlake Remedial Investigation and Feasibility Study

I am pleased to accept the above referenced amendment. The receipt of an additional \$313,000 will allow the Minnesota Pollution Control Agency to complete the Interlake Remedial Investigation and Feasibility Study. We appreciate your staff's effort in securing these additional funds. Enclosed are an original and two copies of the executed document. I look forward to the continued success of the Superfund Program in Minnesota.

Sincerely,

Gerald Willet
Commissioner

KJK:cd

Enclosures

cc: Lois Betka, U.S. Environmental Protection Agency

U.S. ENVIRONMENTAL PROTECTION AGENCY
EPA ASSISTANCE AGREEMENT/AMENDMENT
PART I - ASSISTANCE NOTIFICATION INFORMATION

1. ASSISTANCE ID NO.

V005794-01-28

2. LOG NUMBER

3. DATE OF AWARD

DEC 29 1989

4. MAILING DATE

JAN 08 1990

5. AGREEMENT TYPE

Cooperative Agreement

Grant Agreement

Assistance Amendment ☒

6. PAYMENT METHOD

☐ Advance

☐ Reimbursement

☒ Letter of Credit 68-13-0502

Send Payment Request To:

7. TYPE OF ACTION

Augmentation/Increase

RECIPIENT ORGANIZATION

8. RECIPIENT

Minnesota Pollution Control Agency
520 Lafayette Road
St. Paul, Minnesota 55155

9. PAYEE

Same as Block 8

EIN NO.

41-6007162 A1

CONGRESSIONAL DISTRICT

Statewide

10. RECIPIENT TYPE

State

11. PROJECT MANAGER AND TELEPHONE NO.

Gordon Meyer
(612) 296-7333
(Same address as Block 8)

12. CONSULTANT (WWT Construction Grants Only)

N/A

EPA CONTACT

13. ISSUING OFFICE (City/State)

Chicago, Illinois

14. EPA PROJECT/STATE OFFICER AND TELEPHONE NO.

Lois Betka (5HSM) (312) 886-6399
State Relations Unit, SPMB
U.S. Environmental Protection Agency
230 South Dearborn Street
Chicago, Illinois 60604

15. EPA CONGRESSIONAL LIAISON & TEL. NO.

Pat Gaskins (202) 382-5184

16. STATE APPL ID (Clearinghouse)

17. FIELD OF SCIENCE

N/A

18. PROJECT STEP (WWT CG Only)

N/A

19. STATUTORY AUTHORITY

P.L. 96-510

20. REGULATORY AUTHORITY

40 CFR Parts 29, 30,
32, 33 and 35

21. STEP 2 + 3 & STEP 3 (WWT Construction Only)

a. Treatment Level

b. Project Type

N

c. Treatment Process

A

d. Sludge Design

22. PROJECT TITLE AND DESCRIPTION

This Amendment increases the budget for the St. Louis River site for Phase II of the Site Investigation, the Remedial Investigation Report, the Feasibility Study workplan and report.

23. PROJECT LOCATION (Areas Impacted by Project)

City/Place

Statewide

County

N/A

State

MN

Congressional District

Statewide

24. ASSISTANCE PROGRAM (CFDA Program No. & Title)

66.802 Superfund

25. PROJECT PERIOD

10/01/84 - 06/30/91

26. BUDGET PERIOD

10/01/84 - 06/30/91

27. COMMUNITY POPULATION (WWT CG Only)

N/A

28. TOTAL BUDGET PERIOD COST

\$13,113,230

29. TOTAL PROJECT PERIOD COST

\$13,113,230

FUNDS

FORMER AWARD

THIS ACTION

AMENDED TOTAL

30. EPA Amount This Action

\$12,320,631

\$313,000

\$12,633,631

31. EPA In-Kind Amount

32. Unexpended Prior Year Balance

33. Other Federal Funds

34. Recipient Contribution

35. State Contribution

479,599

-0-

479,599

36. Local Contribution

37. Other Contribution

38. Allowable Project Cost

\$12,800,230

\$313,000

\$13,113,230

39. FISCAL

Program Element

TFAY9A

FY

90

Appropriation

68-20X8145

Doc. Control No.

SF0017

Account Number

OTFA5AFLW4

Object Class

4185

Obligation/Deoblig. Amount

313,000

| U.S. ENVIRONMENTAL PROTECTION AGENCY EPA ASSISTANCE AGREEMENT/AMENDMENT PART I - ASSISTANCE NOTIFICATION INFORMATION | | | | | 1. ASSISTANCE ID NO. V00 94-01-28 | | 2. LOG NUMBER | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|--|---|-------------|---|--|--|---|---------------------------------------|--|--------------------|--------------|-------------|-----------------|----------------------------|--------------|----------------------|--------------|------------------------|------------------|--|--|-----------------------------------|--|--|--|-------------------------|--|--|--|----------------------------|--|--|--|------------------------|---------|-----|---------|------------------------|--|--|--|------------------------|--|--|--|----------------------------|--------------|-----------|--------------|
| | | | | | 3. DATE OF AWARD DEC 29 1989 | | 4. MAILING DATE JAN 08 1990 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 5. AGREEMENT TYPE <input type="checkbox"/> Cooperative Agreement <input type="checkbox"/> Grant Agreement <input checked="" type="checkbox"/> Assistance Amendment | | | | 6. PAYMENT METHOD <input type="checkbox"/> Advance <input type="checkbox"/> Reimbursement <input checked="" type="checkbox"/> Letter of Credit 68-13-0502 Send Payment Request To: | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | 7. TYPE OF ACTION Augmentation/Increase | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| RECIPIENT ORGANIZATION | 8. RECIPIENT Minnesota Pollution Control Agency 520 Lafayette Road St. Paul, Minnesota 55155 | | | | 9. PAYEE Same as Block 8 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | EIN NO. 41-6007162 | | CONGRESSIONAL DISTRICT Statewide | | 10. RECIPIENT TYPE State | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 11. PROJECT MANAGER AND TELEPHONE NO. Gordon Meyer (612) 296-7333 (Same address as Block 8) | | | | 12. CONSULTANT (WWT Construction Grants Only) N/A | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| EPA CONTACT | 13. ISSUING OFFICE (City/State) Chicago, Illinois | | | | 14. EPA PROJECT/STATE OFFICER AND TELEPHONE NO. Lois Betka (5HSM) (312) 886-6399 State Relations Unit, SPMB U.S. Environmental Protection Agency 230 South Dearborn Street Chicago, Illinois 60604 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 15. EPA CONGRESSIONAL LIAISON & TEL. NO. Pat Gaskins (202) 382-5184 | | 16. STATE APPL ID (Clearinghouse) | | 17. FIELD OF SCIENCE N/A | | 18. PROJECT STEP (WWT CG Only) N/A | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 19. STATUTORY AUTHORITY P.L. 96-510 | | 20. REGULATORY AUTHORITY 40 CFR Parts 29, 30, 32, 33 and 35 | | 21. STEP 2 + 3 & STEP 3 (WWT Construction Only) <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td>a. Treatment Level</td> <td></td> <td></td> </tr> <tr> <td>b. Project Type</td> <td>N</td> <td></td> </tr> <tr> <td>c. Treatment Process</td> <td></td> <td>A</td> </tr> <tr> <td>d. Sludge Design</td> <td></td> <td></td> </tr> </table> | | | | a. Treatment Level | | | b. Project Type | N | | c. Treatment Process | | A | d. Sludge Design | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| a. Treatment Level | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| b. Project Type | N | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| c. Treatment Process | | A | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| d. Sludge Design | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 22. PROJECT TITLE AND DESCRIPTION This Amendment increases the budget for the St. Louis River site for Phase II of the Site Investigation, the Remedial Investigation Report, the Feasibility Study workplan and report. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 23. PROJECT LOCATION (Areas Impacted by Project) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| City/Place Statewide | | | County N/A | | State MN | | Congressional District Statewide | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 24. ASSISTANCE PROGRAM (CFDA Program No. & Title) 66.802 Superfund | | | 25. PROJECT PERIOD 10/01/84 - 06/30/91 | | | 26. BUDGET PERIOD 10/01/84 - 06/30/91 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 27. COMMUNITY POPULATION (WWT CG Only) N/A | | | 28. TOTAL BUDGET PERIOD COST \$13,113,230 | | | 29. TOTAL PROJECT PERIOD COST \$13,113,230 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>FUNDS</th> <th>FORMER AWARD</th> <th>THIS ACTION</th> <th>AMENDED TOTAL</th> </tr> </thead> <tbody> <tr> <td>30. EPA Amount This Action</td> <td>\$12,320,631</td> <td>\$313,000</td> <td>\$12,633,631</td> </tr> <tr> <td>31. EPA In-Kind Amount</td> <td></td> <td></td> <td></td> </tr> <tr> <td>32. Unexpended Prior Year Balance</td> <td></td> <td></td> <td></td> </tr> <tr> <td>33. Other Federal Funds</td> <td></td> <td></td> <td></td> </tr> <tr> <td>34. Recipient Contribution</td> <td></td> <td></td> <td></td> </tr> <tr> <td>35. State Contribution</td> <td>479,599</td> <td>-0-</td> <td>479,599</td> </tr> <tr> <td>36. Local Contribution</td> <td></td> <td></td> <td></td> </tr> <tr> <td>37. Other Contribution</td> <td></td> <td></td> <td></td> </tr> <tr> <td>38. Allowable Project Cost</td> <td>\$12,800,230</td> <td>\$313,000</td> <td>\$13,113,230</td> </tr> </tbody> </table> | | | | | | | | | FUNDS | FORMER AWARD | THIS ACTION | AMENDED TOTAL | 30. EPA Amount This Action | \$12,320,631 | \$313,000 | \$12,633,631 | 31. EPA In-Kind Amount | | | | 32. Unexpended Prior Year Balance | | | | 33. Other Federal Funds | | | | 34. Recipient Contribution | | | | 35. State Contribution | 479,599 | -0- | 479,599 | 36. Local Contribution | | | | 37. Other Contribution | | | | 38. Allowable Project Cost | \$12,800,230 | \$313,000 | \$13,113,230 |
| FUNDS | FORMER AWARD | THIS ACTION | AMENDED TOTAL | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 30. EPA Amount This Action | \$12,320,631 | \$313,000 | \$12,633,631 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 31. EPA In-Kind Amount | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 32. Unexpended Prior Year Balance | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 33. Other Federal Funds | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 34. Recipient Contribution | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 35. State Contribution | 479,599 | -0- | 479,599 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 36. Local Contribution | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 37. Other Contribution | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 38. Allowable Project Cost | \$12,800,230 | \$313,000 | \$13,113,230 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 39. FISCAL | Program Element | FY | Appropriation | Doc. Control No. | Account Number | Object Class | Obligation/Deoblig. Amount | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | TFAY9A | 90 | 68-2081A-SF0017 | | OTFASAFLOW4 | 4185 | 313,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

TABLE A - OBJECT CLASS CATEGORY
(Non-construction)TOTAL APPROVED ALLOWABLE
BUDGET PERIOD COST

| | |
|--|---------------|
| 1. PERSONNEL | \$ 846,375 |
| 2. FRINGE BENEFITS | 151,982 |
| 3. TRAVEL | 79,903 |
| 4. EQUIPMENT | 5,420 |
| 5. SUPPLIES | 7,870 |
| 6. CONTRACTUAL | 8,337,131 |
| 7. CONSTRUCTION | 3,290,057 |
| 8. OTHER | 35,227 |
| 9. TOTAL DIRECT CHARGES | 12,753,965 |
| 10. INDIRECT COSTS: RATE % BASE | 359,265 |
| 11. TOTAL (Share: Recipient _____ % Federal _____ %) | \$13,113,230 |
| 12. TOTAL APPROVED ASSISTANCE AMOUNT | \$ 12,633,631 |

TABLE B - PROGRAM ELEMENT CLASSIFICATION
(Non-construction)

| | |
|--|--|
| 1. | |
| 2. | |
| 3. | |
| 4. | |
| 5. | |
| 6. | |
| 7. | |
| 8. | |
| 9. | |
| 10. | |
| 11. | |
| 12. TOTAL (Share: Recipient _____ % Federal _____ %) | |

13. TOTAL APPROVED ASSISTANCE AMOUNT

\$

TABLE C - PROGRAM ELEMENT CLASSIFICATION
(Construction)

| | |
|--|----|
| 1. ADMINISTRATION EXPENSE | |
| 2. PRELIMINARY EXPENSE | |
| 3. LAND STRUCTURES, RIGHT-OF-WAY | |
| 4. ARCHITECTURAL ENGINEERING BASIC FEES | |
| 5. OTHER ARCHITECTURAL ENGINEERING FEES | |
| 6. PROJECT INSPECTION FEES | |
| 7. LAND DEVELOPMENT | |
| 8. RELOCATION EXPENSES | |
| 9. RELOCATION PAYMENTS TO INDIVIDUALS AND BUSINESSES | |
| 10. DEMOLITION AND REMOVAL | |
| 11. CONSTRUCTION AND PROJECT IMPROVEMENT | |
| 12. EQUIPMENT | |
| 13. MISCELLANEOUS | |
| 14. TOTAL (Lines 1 thru 13) | |
| 15. ESTIMATED INCOME (If applicable) | |
| 16. NET PROJECT AMOUNT (Line 14 minus 15) | |
| 17. LESS: INELIGIBLE EXCLUSIONS | |
| 18. ADD: CONTINGENCIES | |
| 19. TOTAL (Share: Recipient _____ % Federal _____ %) | |
| 20. TOTAL APPROVED ASSISTANCE AMOUNT | \$ |

b. SPECIAL CONDITIONS (Continued)

The revised budget for the St. Louis River site RI/FS is as follows:

| Category | RI/FS |
|----------------------------------|------------|
| Personnel | \$ 75,482 |
| Fringe Benefits | 13,194 |
| Travel | 8,633 |
| Equipment | 350 |
| Supplies | 1,162 |
| Contractual | 854,283 |
| Construction | -0- |
| Other | 2,751 |
| Total Direct Charges | \$ 955,855 |
| Indirect Charges | 31,825 |
| Total | \$ 987,680 |
| Total Approved Assistance Amount | \$ 987,680 |

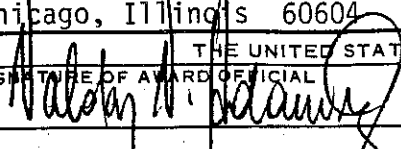
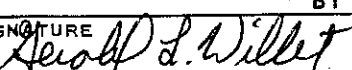
PART IV

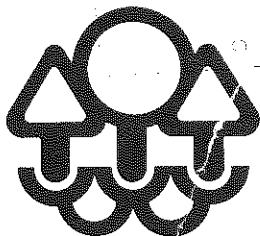
NOTE: The Agreement must be completed in duplicate and the Original returned to the Grants Administration Division for Headquarters awards and to the appropriate Grants Administrations Office for State and local awards within 3 calendar weeks after receipt or within any extension of time as may be granted by EPA.

Receipt of a written refusal or failure to return the properly executed document within the prescribed time, may result in the withdrawal of the offer by the Agency. Any change to the Agreement by the recipient subsequent to the document being signed by the EPA Award Official which the Award Official determines to materially alter the Agreement shall void the Agreement.

OFFER AND ACCEPTANCE

The United States of America, acting by and through the U.S. Environmental Protection Agency (EPA), hereby offers assistance/amendment to the Minnesota Pollution Control Agency for 100 % of all approved costs incurred up to and not exceeding \$ 12,633,631 for the support of approved budget period effort described in application (including all application modifications) MPCA's MSCA Amendment dated November 17, 1989 included herein by reference.

| | | | |
|--|---|--|---------------------|
| ISSUING OFFICE (Grants Administration Office) | | AWARD APPROVAL OFFICE | |
| ORGANIZATION/ADDRESS Contracts and Grants Branch (5CGB) U.S. Environmental Protection Agency 230 South Dearborn Street Chicago, Illinois 60604 | | ORGANIZATION/ADDRESS Waste Management Division (5HSM) U.S. Environmental Protection Agency 230 South Dearborn Street Chicago, Illinois 60604 | |
| THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY | | | |
| SIGNATURE OF AWARD OFFICIAL  | TYPED NAME AND TITLE Valdas V. Adamkus, Regional Administrator | | DATE DEC 29 1989 |
| This Agreement is subject to applicable U.S. Environmental Protection Agency statutory provisions and assistance regulations. In accepting this award or amendment and any payments made pursuant thereto, (1) the undersigned represents that he is duly authorized to act on behalf of the recipient organization, and (2) the recipient agrees (a) that the award is subject to the applicable provisions of 40 CFR Chapter I, Subchapter B and of the provisions of this agreement (Parts I thru IV), and (b) that acceptance of any payments constitutes an agreement by the payee that the amounts, if any found by EPA to have been overpaid will be refunded or credited in full to EPA. | | | |
| BY AND ON BEHALF OF THE DESIGNATED RECIPIENT ORGANIZATION | | | |
| SIGNATURE  | TYPED NAME AND TITLE Gerald L. Willet Commissioner | | DATE 1/24/90 |



Minnesota Pollution Control Agency

520 Lafayette Road, Saint Paul, Minnesota 55155

Telephone (612) 296-6300



February 21, 1990

Mr. Valdas Adamkus
Regional Administrator
U.S. Environmental Protection Agency
230 South Dearborn Street
Chicago, Illinois 60604

RECEIVED
FEB 28 1990

SUPERFUND PROGRAM/
MANAGEMENT BRANCH

Dear Mr. Adamkus:

RE: Multi-Site Cooperative Agreement V005794-01-29; Adrian, Union Scrap,
and South Andover Remedial Investigation and Feasibility Study Projects.

I am pleased to accept the above referenced amendment. The receipt of an additional \$25,500 will allow the Minnesota Pollution Control Agency (MPCA) to complete the Union Scrap site Remedial Investigation and Feasibility Study (RI/FS). We appreciate your staff's effort in securing these additional funds. The transfer of funds from the Adrian RI/FS budget to the South Andover RI/FS budget and the subsequent rebudgeting of the Adrian RI/FS project will allow the MPCA to proceed with response actions at those sites. Enclosed are three originals of the executed document.

Thank you for your staff's assistance in executing this award. I look forward to the continued success of the Superfund Program in Minnesota.

Sincerely,

for Barbara Lindsey Serna
Gerald L. Willet
Commissioner

JLW:cd

Enclosures

cc: Lois Betka, U.S. Environmental Protection Agency

1/30/90

| | | | | | | | | |
|---|---|---|-------------------------------------|--|---|-------------------------------------|---------------------------------------|--|
| U.S. ENVIRONMENTAL PROTECTION AGENCY EPA ASSISTANCE AGREEMENT/AMENDMENT PART I - ASSISTANCE NOTIFICATION INFORMATION | | | | | 1. ASSISTANCE ID NO. V005794-01-29 | | 2. LOG NUMBER | |
| | | | | | 3. DATE OF AWARD JAN 18 1990 | | 4. MAILING DATE JAN 25 1990 | |
| 5. AGREEMENT TYPE <input checked="" type="checkbox"/> Cooperative Agreement <input type="checkbox"/> Grant Agreement <input checked="" type="checkbox"/> Assistance Amendment | | | | 6. PAYMENT METHOD <input type="checkbox"/> Advance <input type="checkbox"/> Reimbursement <input checked="" type="checkbox"/> Letter of Credit 68-13-0502 Send Payment Request To: | | | | |
| | | | | 7. TYPE OF ACTION Augmentation/Increase | | | | |
| RECIPIENT ORGANIZATION | 8. RECIPIENT Minnesota Pollution Control Agency 520 Lafayette Road St. Paul, Minnesota 55155 | | | | 9. PAYEE Same as Block 8 | | | |
| | EIN NO. 41-6007162 A/ | | CONGRESSIONAL DISTRICT Statewide | | 10. RECIPIENT TYPE State | | | |
| | 11. PROJECT MANAGER AND TELEPHONE NO. Gordon Meyer (612) 296-7333 (Same address as Block 8) | | | | 12. CONSULTANT (WWT Construction Grants Only) N/A | | | |
| EPA CONTACT | 13. ISSUING OFFICE (City/State) Chicago, Illinois | | | | 14. EPA PROJECT/STATE OFFICER AND TELEPHONE NO. Lois Betka (5HSM) (312) 886-6399 State Relations Unit, SPMB U.S. Environmental Protection Agency 230 South Dearborn Street Chicago, Illinois 60604 | | | |
| | 15. EPA CONGRESSIONAL LIAISON & TEL. NO. Pat Gaskins (202) 382-5184 | | 16. STATE APPL ID (Clearinghouse) | | 17. FIELD OF SCIENCE N/A | | 18. PROJECT STEP (WWT CG Only) N/A | |
| 19. STATUTORY AUTHORITY P.L. 96-510 | | 20. REGULATORY AUTHORITY 40 CFR Parts 29, 30, 32, 33 and 35 | | 21. STEP 2 + 3 & STEP 3 (WWT Construction Only) | | | | |
| | | | | a. Treatment Level | | | | |
| | | | | b. Project Type | | N | | |
| | | | | c. Treatment Process | | A | | |
| | | | | d. Sludge Design | | | | |
| 22. PROJECT TITLE AND DESCRIPTION This Amendment rebudgets the RI/FS funds for Adrian, transfers excess funds from the Adrian RI/FS budget to the South Andover RI/FS, increases the Union Scrap RI/FS budget and extends the budget/project period for the Union Scrap project. | | | | | | | | |
| 23. PROJECT LOCATION (Areas Impacted by Project) | | | | | | | | |
| City/Place Statewide | | County N/A | | State MN | | Congressional District Statewide | | |
| 24. ASSISTANCE PROGRAM (CFDA Program No. & Title) 66.802 Superfund | | 25. PROJECT PERIOD 10/01/84 - 06/30/91 | | 26. BUDGET PERIOD 10/01/84 - 06/30/91 | | | | |
| 27. COMMUNITY POPULATION (WWT CG Only) N/A | | 28. TOTAL BUDGET PERIOD COST \$13,138,730 | | 29. TOTAL PROJECT PERIOD COST \$13,138,730 | | | | |
| FUNDS | | FORMER AWARD | | THIS ACTION | | AMENDED TOTAL | | |
| 30. EPA Amount This Action | | \$12,633,631 | | \$25,500 | | \$12,659,131 | | |
| 31. EPA In-Kind Amount | | | | | | | | |
| 32. Unexpended Prior Year Balance | | | | | | | | |
| 33. Other Federal Funds | | | | | | | | |
| 34. Recipient Contribution | | | | | | | | |
| 35. State Contribution | | 479,599 | | -0- | | 479,599 | | |
| 36. Local Contribution | | | | | | | | |
| 37. Other Contribution | | | | | | | | |
| 38. Allowable Project Cost | | \$13,113,230 | | \$25,500 | | \$13,138,730 | | |
| 39. FISCAL | Program Element | FY | Appropriation | Doc. Control No. | Account Number | Object Class | Obligation/Deoblig. Amount | |
| | TFA79A | 90 | 68-20X818 | SFO020 | OTFA5AFL67 | 4185 | 25,500 | |
| | } | 87 | } | 009172 | 7TFA05FL1H | } | (2,659) | |
| | } | 87 | } | 009172 | 7TFA05FL45 | } | 2,659 | |

042

| TABLE A - OBJECT CLASS CATEGORY (Non-construction) | | TOTAL APPROVED ALLOWABLE BUDGET PERIOD COST |
|--|--|--|
| 1. PERSONNEL | | \$ 870,111 |
| 2. FRINGE BENEFITS | | 156,478 |
| 3. TRAVEL | | 79,013 |
| 4. EQUIPMENT | | 5,420 |
| 5. SUPPLIES | | 7,720 |
| 6. CONTRACTUAL | | 8,323,472 |
| 7. CONSTRUCTION | | 3,290,057 |
| 8. OTHER | | 35,164 |
| 9. TOTAL DIRECT CHARGES | | 12,767,435 |
| 10. INDIRECT COSTS: RATE % BASE | | 371,295 |
| 11. TOTAL (Share: Recipient _____ % Federal _____ %) | | \$13,138,730 |
| 12. TOTAL APPROVED ASSISTANCE AMOUNT | | \$ 12,659,131 |
| TABLE B - PROGRAM ELEMENT CLASSIFICATION (Non-construction) | | |
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |
| 6. | | |
| 7. | | |
| 8. | | |
| 9. | | |
| 10. | | |
| 11. | | |
| 12. TOTAL (Share: Recipient _____ % Federal _____ %) | | |
| 13. TOTAL APPROVED ASSISTANCE AMOUNT | | \$ |
| TABLE C - PROGRAM ELEMENT CLASSIFICATION (Construction) | | |
| 1. ADMINISTRATION EXPENSE | | |
| 2. PRELIMINARY EXPENSE | | |
| 3. LAND STRUCTURES, RIGHT-OF-WAY | | |
| 4. ARCHITECTURAL ENGINEERING BASIC FEES | | |
| 5. OTHER ARCHITECTURAL ENGINEERING FEES | | |
| 6. PROJECT INSPECTION FEES | | |
| 7. LAND DEVELOPMENT | | |
| 8. RELOCATION EXPENSES | | |
| 9. RELOCATION PAYMENTS TO INDIVIDUALS AND BUSINESSES | | |
| 10. DEMOLITION AND REMOVAL | | |
| 11. CONSTRUCTION AND PROJECT IMPROVEMENT | | |
| 12. EQUIPMENT | | |
| 13. MISCELLANEOUS | | |
| 14. TOTAL (Lines 1 thru 13) | | |
| 15. ESTIMATED INCOME (If applicable) | | |
| 16. NET PROJECT AMOUNT (Line 14 minus 15) | | |
| 17. LESS: INELIGIBLE EXCLUSIONS | | |
| 18. ADD: CONTINGENCIES | | |
| 19. TOTAL (Share: Recipient _____ % Federal _____ %) | | |
| 20. TOTAL APPROVED ASSISTANCE AMOUNT | | \$ |

PART III-AWARD CONDITIONS

a. GENERAL CONDITIONS

The recipient covenants and agrees that it will expeditiously initiate and timely complete the project work for which assistance has been awarded under this agreement, in accordance with all applicable provisions of 40 CFR Chapter I, Subpart B. The recipient warrants, represents, and agrees that it, and its contractors, subcontractors, employees and representatives, will comply with: (1) all applicable provisions of 40 CFR Chapter I, Subchapter B, INCLUDING BUT NOT LIMITED TO the provisions of Appendix A to 40 CFF Part 30, and (2) any special conditions set forth in this assistance agreement or any assistance amendment pursuant to 40 CFR 30.425.

b. SPECIAL CONDITIONS:

(For cooperative agreements include identification or summarization of EPA responsibilities that reflect or contribute to substantial involvement.)

New site budgets as revised by this Amendment are as follows:

| <u>Category</u> | <u>South Andover RI/FS</u> | <u>Adrian RI/FS</u> |
|----------------------------------|----------------------------|---------------------|
| Personnel | \$24,268 | \$ 33,806 |
| Fringe Benefits | 4,590 | 6,085 |
| Travel | 220 | 2,478 |
| Equipment | -0- | -0- |
| Supplies | 10 | -0- |
| Contractual | -0- | 529,916 |
| Construction | -0- | -0- |
| Other | 117 | 176 |
| Total Direct Charges | 29,205 | 572,461 |
| Indirect Charges | 10,851 | 14,480 |
| Total | \$40,056 | \$586,941 |
| Total Approved Assistance Amount | \$40,056 | \$586,941 |

Transfer \$2,659 from Adrian account number 7TFA05FL1H to South Andover account number 7 TFA05FL45.

| <u>Category</u> | <u>Union Scrap RI/FS</u> |
|----------------------------------|--------------------------|
| Personnel | \$ 46,184 |
| Fringe Benefits | 8,316 |
| Travel | 1,720 |
| Equipment | -0- |
| Supplies | -0- |
| Contractual | 440,000 |
| Construction | -0- |
| Other | 380 |
| Total Direct Charges | 496,600 |
| Indirect Charges | 19,910 |
| Total | \$516,510 |
| Total Approved Assistance Amount | \$516,510 |

Increase Union Scrap by \$25,500 new RI/FS funds.

b. SPECIAL CONDITIONS (Continued)

PART IV

NOTE: The Agreement must be completed in duplicate and the Original returned to the Grants Administration Division for Headquarters awards and to the appropriate Grants Administrations Office for State and local awards within 3 calendar weeks after receipt or within any extension of time as may be granted by EPA.

Receipt of a written refusal or failure to return the properly executed document within the prescribed time, may result in the withdrawal of the offer by the Agency. Any change to the Agreement by the recipient subsequent to the document being signed by the EPA Award Official which the Award Official determines to materially alter the Agreement shall void the Agreement.

OFFER AND ACCEPTANCE

The United States of America, acting by and through the U.S. Environmental Protection Agency (EPA), hereby offers assistance/amendment to the Minnesota Pollution Control Agency

for 100 % of all approved costs incurred up to and not exceeding \$ 12,659,131

RECIPIENT ORGANIZATION

ASSISTANCE AMOUNT

for the support of approved budget period effort described in application (including all application modifications)

MPCA's MSCA Amendment dated November 29, 1989 included herein by reference.

DATE AND TITLE

ISSUING OFFICE (Grants Administration Office)

ORGANIZATION/ADDRESS

Contracts and Grants Branch (5CGB)
U.S. Environmental Protection Agency
230 South Dearborn Street
Chicago, Illinois 60604

AWARD APPROVAL OFFICE

ORGANIZATION/ADDRESS

Waste Management Division (5HSM)
U.S. Environmental Protection Agency
230 South Dearborn Street
Chicago, Illinois 60604

THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY

SIGNATURE OF AWARD OFFICIAL

TYPED NAME AND TITLE

Valdas V. Adamkus, Regional Administrator

DATE

JAN 18 1990

This Agreement is subject to applicable U.S. Environmental Protection Agency statutory provisions and assistance regulations. In accepting this award or amendment and any payments made pursuant thereto, (1) the undersigned represents that he is duly authorized to act on behalf of the recipient organization, and (2) the recipient agrees (a) that the award is subject to the applicable provisions of 40 CFR Chapter I, Subchapter B and of the provisions of this agreement (Parts I thru IV), and (b) that acceptance of any payments constitutes an agreement by the payee that the amounts, if any found by EPA to have been overpaid will be refunded or credited in full to EPA.

BY AND ON BEHALF OF THE DESIGNATED RECIPIENT ORGANIZATION

SIGNATURE

TYPED NAME AND TITLE

Barbara Lindsey Sims Deputy Commissioner

DATE

2/21/90